

## ANNEXURE-I

## DETAILS IN RESPECT PROJECTS OF UTTAR PRADESH STATE BEING FUNDED UNDER AIBP-PMKSY

Sl. No.	Name of Project	FY	Amount of CA sanctioned for release (in Rs. Crore)	Sanction order issued by State Projects Wing, Department of Water Resources, River Development & Ganga Rejuvenation, Ministry of Jal Shakti (erstwhile Ministry of Water Resources, RD&GR) vide their letter no.	Date of issue of sanction order	Where was CA amount spent	Remarks
1	Arjun Sahayak Project	2017-18 (From June 2017)	2.2460	31/2/2009-PR/UP/Arjun Sahyak Project/1371-94	20.03.2018	On project	
		2018-19	28.9200	31/2/2009-PR/UP/ Arjun Sahyak Project/4720-40	10.09.2018	-do-	
		2018-19	28.9200	31/2/2009-PR/UP/ Arjun Sahyak Project/1287-1307	18.03.2019	-do-	
		2019-20	31.6690	31/2/2009-PR/UP/ Arjun Sahyak Project/4021-42	06.11.2019	-do-	
		2020-21	15.8365	P-31/2/2009-PR/UP/ Arjun Sahyak Project (I/39956/2020)	13.10.2020	-do-	
		2021-22 (Till 28.07.2021)	0.0000				
2	Madhya Ganga Canal PH-II	2017-18 (From June 2017)	0.0000				
		2018-19	18.8100	31/2/2008-PR/UP/Madhya Ganga Canal Stage-II/6248-68	29.10.2018	On project	
		2019-20	17.8000	31/2/2008-PR/UP/Madhya Ganga Canal Stage-II/20/917-41	20.03.2020	-do-	
		2020-21	17.8000	31/2/2008-PR/UP/Madhya Ganga Canal Stage-II	29.04.2020	-do-	
		2021-22 (Till 28.07.2021)	0.0000				
3	Bansagar Canal Project	2017-18 (From June 2017)	63.3606	31/2/2008-PR/UP/Bansagar Canal Project/739-760	07.03.2018	On project	Project completed
		2018-19	15.5100	31/2/2008-PR/UP/Bansagar Canal Project/612-32	11.02.2019	-do-	
		2019-20	0.0000				
4	Sarayu Nahar Pariyojana	2017-18	0.0000				
		2018-19	305.0000 (213.42+91.58)	31/2/2013-PR/UP/Saryu Nahar Priyojana of National Project /4397-4417 31/2/2013-PR/UP/Saryu Nahar Priyojana of National Project /1377-98	20/08/2018 20/03/2019	On project	
		2019-20	358.3000	31/2/2013-PR/UP/Saryu Nahar Priyojana of National Project /5225-48	18/12/2019	-do-	
		2020-21	358.3000	31/2/2013-PR/UP/Saryu Nahar Priyojana of National Project	29/04/2020	-do-	

Source: Project Monitoring System (AIBP-PMKSY) of Department of Water Resources, River Development & Ganga Rejuvenation.

**Most Immediate**

**No. 31/2/2009-PR/UP/Arjun Sahayak Project/ 137/- 94,**  
**Government of India**  
**Ministry of Water Resources,**  
**River Development and Ganga Rejuvenation**  
**State Projects Wing**

**Shram Shakti Bhawan, New Delhi**  
**Dated 20<sup>th</sup> March, 2018**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

Subject: **'On Account'** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State's Annual Plan 2017-18.

The undersigned is directed to convey the sanction of the President of India to the payment of **Rs. 2,24,60,000 (Rupees Two Crore, Twenty Four Lakh and Sixty Thousand only)** to the **Government of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2017-18 as under:

Sl. No.	State	Project	Amount of grant being released (in Rs. Crore)
1.	Uttar Pradesh	Arjun Sahayak Irrigation Project	2.246
Total Release for Uttar Pradesh Rs. 2.246 Crore			

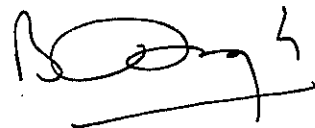
2. Release of 1<sup>st</sup> and 2<sup>nd</sup> installments is subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2017-18 would be provided for the purpose.

3. The amount being released subject to the following conditions to be complied by the States:-

- Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority(ies) concerned with project(s).
- The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes.
- Provisions under GFR 2015 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 215(2) in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.



- d) Completion of project as per schedule approved by the Cabinet and as agreed to by the State and as mentioned in the MoU.
- e) Maximum use of pressurized pipe irrigation and micro irrigation.
- f) To ensure PIM and formation of WUA.
- g) Involvement of WUA in planning and distribution of water.
- h) Pari Passu CADWM works would be undertaken expeditiously in line with the Cabinet approval for irrigation potential utilisation and the State Government will decide the annual work programme in such a way the implementation takes place in an integrated and holistic manner so that irrigation potential created is utilized soon after construction of canal networks as a whole, Details of such CADWM programme will be submitted before proposal for release of further instalments.
- i) Performance oriented contract preferably on turnkey basis for the balance work including CAD works (wherever work is yet to be awarded) should be in position and status confirmed at the time released as 2<sup>nd</sup> instalment.
- j) Release are subject also to any post adjustment arising out of Finalized District Irrigation Plan (DIP) and State Irrigation Plan (SIP) envisaged under PMKSY; and reconciliation with reference to recommendations of CWC in the due course.
- k) State Government would initiate immediate steps to make additional budget provision to cover the full balance cost for completion of project as per schedule approved by the Cabinet.
- l) The release is "on account" basis, and therefore, subject to reconciliation. Any excess arrived at on the basis of audited figures of expenditure for 2016-17 or reconciliation by Ministry of Water Resources can be adjusted in future releases to the state under this project or other project of the State.
- m) The State Government shall ensure proper upkeep, maintenance and operation of the assets /facilities created out of the project.
- n) The grant should be utilized on the programme as per the MOU and the work schedule therein. Expenditure on items not approved or included under the MOU or deviation from the provisions of approved guidelines of scheme is not permissible.
- o) The Central Assistance along with the corresponding balance State share must be released to the project authorities by the state governments within 15 days of its release by the Government of India, failing which the amount would be recovered from the State Government with interest for the period of default.
- p) A utilisation certificate duly countersigned by the Principal Secretary/Secretary of the concerned Department in ink and stamped will be submitted by the State for the central assistance as also the matching state share in the prescribed format in Form GFR 19-A at the time of making proposal for the next release for the project.
- q) Where no releases have been requested in current FY, Utilization Certificate for Central Assistance as also the matching share released for the project will be submitted by the State in prescribed format in GFR 19-A for FY 2017-18 within one month from expiry of the current financial year 2017-18 i.e. by 30.4.2018.
- r) The Utilization Certificate must contain physical achievement as agreed to in the MoU on year to year basis, and date of release of Central share/ State share to the implementing agency along with respective order number and date.
- s) The States would be required to submit audited statements of expenditure incurred on the components of the project within 18 months of the completion of the financial year in which the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.
- t) If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.



- u) No cost overrun will be allowed without the appraisal and approval of competent authority.
- v) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to MoWR, RD&GR at the end of each financial year.
- w) State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to MoWR, RD&GR by 31<sup>st</sup> July of every financial year.
- x) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
- y) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.
- z) Parking of fund by state government should be avoided.

4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to MoWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.

5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,

- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
- (b) Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS has been developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
- (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
- (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.

6. ***Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.***

  
(Bhupinder Singh)

**Sr. Joint Commissioner (SPR-II)**

**Ph-23710131**

**Copy to :**

- 1. Finance Secretary, Government of Uttar Pradesh, Lucknow.
- 2. Planning Secretary, Government of Uttar Pradesh, Lucknow.
- 3. Principal Secretary (WR/Irrigation), Government of Uttar Pradesh, Lucknow.

4. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
5. Dept. of Economic Affairs, Budget Division(States), North Block, New Delhi.
6. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi.
7. Dept. of Expenditure(Plan Finance-I Division), North Block, New Delhi.
8. Dept. of Financial Services, 3<sup>rd</sup> Floor, Jeevan Deep Building, Sansad Marg, New Delhi.
9. NITI Ayog [ SP Division/FR Division/WR Division], New Delhi.
10. Secretary, RBI, Central Office Mumbai
11. Manager, RBI CAS Nagpur
12. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017.
13. Resident Commissioner, State Govt. of UP, UP Bhawan, New Delhi.
14. **NIC, MoWR,RD&GR for uploading on the Ministry's website**
15. PMU, PMKSY,(WAPCOS), New Delhi.

**Copy for kind information:**

1. PS to Hon'ble Minister (WR,RD&GR),New Delhi
2. PS to Hon'ble MOS (WR,RD&GR),New Delhi
3. Sr. PPS to Secretary(MoWR,RD&GR), MoWR,RD&GR, New Delhi.
4. PPS to JS&FA, MoWR,RD&GR, New Delhi
5. PS to Commissioner (CAD), MoWR,RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi
7. Chief Engineer (YBO), CWC, New Delhi
8. The Director, (Mon), CWC, Agra , Uttar Pradesh.

**Most Immediate**

**No. 31/2/2009-PR/UP/Arjun Sahayak Project/1287-1307,  
Government of India  
Ministry of Water Resources, RD&GR &GR  
State Projects Wing**

**Shram Shakti Bhawan, New Delhi  
Dated 18<sup>th</sup> March, 2019**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

Subject: **'On Account'** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State's Annual Plan 2018-19.

The undersigned is directed to convey the sanction of the President of India to the payment of **Rs.28,92,00,000(Rupees Twenty Eight Crore Ninety Two Lakh Only )** to the **Governments of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2018-19 as under:

Sl No	State	Project	Amount of grant being released (in Rs. Crore)
1.	Uttar Pradesh	Arjun Sahayak Project	28.92

2. Release of 2<sup>nd</sup> installment is subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2018-19 would be provided for the purpose.

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- (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.

6. ***Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.***

7. This issues in consultation with Integrated Finance Division, Ministry of Water Resources, RD&GR &GR vide their Dy. No.229/IFD/2018-19 dated 04.09.2018

8. Further, due to enforcement of Model Code of Conduct for General Election in Country, the conditions mentioned in the NOC issued by Election Commission of India vide letter No 437/6/CG/ECI/LET/FUNCT/MCC/2019 dated 15.03.2019 (copy enclosed) for release of CA, have to be strictly adhered.



(Ahwini Kuamr Shukla )  
Sr. Joint Commissioner (SPR-II)  
Ph-23710131

**Copy to :**

1. Finance Secretary, Government of Uttar Pradesh, Lucknow.
2. Planning Secretary, Government of Uttar Pradesh, Lucknow.
3. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
4. Dept. of Economic Affairs, Budget Division (States), North Block, New Delhi
5. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi
6. Dept. of Expenditure (Plan Finance-I Division), North Block, New Delhi.
7. Dept. of Financial Services, 3<sup>rd</sup> Floor, Jeevan Deep Building, Sansad Marg, New Delhi
8. NITI Ayog [SP Division/FR Division/WR Division]. New Delhi
9. Secretary, RBI, Central office Mumbai

10. Manager, RBI CAS Nagpur
11. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017
12. Resident Commissioner, Govt. of UP, UP Bhawan, Kriti Nagar, Block X, Diplomatic Enclave, Chanakyapuri, New Delhi, Delhi -110021.
13. NIC, MoWR,RD&GR for uploading the Ministry's Website
14. PMU, PMKSY,(WAPCOS), New Delhi.

**Copy also to :**

1. PS to Hon'ble Minister (MoWR,RD&GR), New Delhi
2. PS to Hon'ble, MoS (MoWR,RD&GR), New Delhi
3. Sr. PPS to Secretary (MoWR,RD&GR), New Delhi
4. PPS to JS&FA, MoWR,RD&GR, New Delhi
5. Commissioner (CAD), MoWR,RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi



भारत निर्वाचन आयोग सचिवालय  
SECRETARIAT OF THE ELECTION COMMISSION OF INDIA  
निर्वाचन सदन, अशोक रोड, नई दिल्ली-110001  
NirvachanSadan, Ashoka Road, New Delhi-110001.

No. 437/6/CG/ECI/LET/FUNCT/MCC/2019

Dated: 15<sup>th</sup> March, 2019

To

Secretary,  
Government of India,  
Ministry of Water Resources, River Development & Ganga Rejuvenation,  
Shastri Bhawan, New Delhi-110001

[Kind Attn.: Shri Ashwni Kumar Shukla, Sr. Joint Commissioner (SPR-II)]

Subject: - NoC for release of central assistance to State Governments towards projects approved by GoI in XI and XII plan prior to 10.03.2019s - regarding.

Sir/Madam,

I am directed to refer to letter No. P-19011/3/2018/SPR/1245-50 dated 13<sup>th</sup> March, 2019, on the subject cited, and to state that the Commission has no objection from MCC angle to the proposal made therein subject to the following :-

- (1) There shall be no publicity in this regard in electronic, print, radio, internet or any other media, in any form whatsoever.
- (2) No political functionary shall make any reference in this regard during any public speech or communication to the press or public.
- (3) These restrictions on publicity will be applicable to the Central Government as well as the State Governments concerned.
- (4) The relevant provisions of Model Code of Conduct issued by the Commission shall be strictly followed.
- (5) No new work shall be taken up afresh during MCC period.

Yours faithfully,

  
(NARENDRA N. BUTOLIA)  
PRINCIPAL SECRETARY

**Most Immediate**

**No. 31/2/2009-PR/UP/Arjun Sahayak Project/4720-40,  
Government of India  
Ministry of Water Resources, RD&GR &GR  
State Projects Wing**

**Shram Shakti Bhawan, New Delhi  
Dated 10<sup>th</sup> September, 2018**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

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- s) The States would be required to submit audited statements of expenditure incurred on the components of the project within 18 months of the completion of the financial year in which the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.
- t) If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.
- u) No cost overrun will be allowed without the appraisal and approval of competent authority.
- v) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to MoWR, RD&GR at the end of each financial year.
- w) State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to MoWR, RD&GR by 31<sup>st</sup> July of every financial year.
- x) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
- y) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.



4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to MoWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.

5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,

- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
- (b) Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS has been developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
- (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
- (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.

6. ***Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.***

7. *This issues in consultation with Integrated Finance Division, Ministry of Water Resources, RD&GR &GR vide their Dy. No.229/IFD/2018-19 dated 04.09.2018*

  
(Bhupinder Singh)

**Sr. Joint Commissioner (SPR-II)**

**Ph-23710131**

**Copy to :**

1. Finance Secretary, Government of Uttar Pradesh, Lucknow.
2. Planning Secretary, Government of Uttar Pradesh, Lucknow.
3. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
4. Dept. of Economic Affairs, Budget Division (States), North Block, New Delhi
5. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi
6. Dept. of Expenditure (Plan Finance-I Division), North Block, New Delhi.
7. Dept. of Financial Services, 3<sup>rd</sup> Floor, Jeevan Deep Building, Sansad Marg, New Delhi
8. NITI Ayog [SP Division/FR Division/WR Division]. New Delhi
9. Secretary, RBI, Central office Mumbai
10. Manager, RBI CAS Nagpur
11. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017
12. Resident Commissioner, Govt. of UP, UP Bhawan, Kriti Nagar, Block X, Diplomatic Enclave, Chanakyapuri, New Delhi, Delhi -110021.
13. NIC, MoWR, RD&GR for uploading the Ministry's Website
14. PMU, PMKSY, (WAPCOS), New Delhi.

**Copy also to :**

1. PS to Hon'ble Minister (MoWR,RD&GR), New Delhi
2. PS to Hon'ble, MoS (MoWR,RD&GR), New Delhi
3. Sr. PPS to Secretary (MoWR,RD&GR), New Delhi
4. PPS to JS&FA, MoWR,RD&GR, New Delhi
5. Commissioner (CAD), MoWR,RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi

**Most Immediate**

**No. 31/2/2009-PR/UP/Arjun Sahayak Project/ 4021-42,  
Government of India  
Ministry of Water Resources, RD&GR &GR  
State Projects Wing**

**Shram Shakti Bhawan, New Delhi  
Dated 6<sup>th</sup> November , 2019**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

Subject: **'On Account'** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State's Annual Plan 2019-20.

The undersigned is directed to convey the sanction of the President of India to the payment of **Rs.31,66,90,000(Rupees Thirty One Crore Sixty Six Lakh and Ninety Thousand Only )** to the **Governments of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2019-20 as under:

Sl No	State	Project	Amount of grant being released (in Rs. Crore)
1.	Uttar Pradesh	Arjun Sahayak Project	31.669

2. Release of 1<sup>st</sup> installment is subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2019-20 would be provided for the purpose.

3. The amount being released subject to the following conditions to be complied by the States:-

- Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with project(s).
- The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes.
- Provisions under GFR 2017 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 2017 in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.
- Completion of project as per schedule approved by the Cabinet and as agreed to by the State and as mentioned in the MoU.
- Maximum use of pressurized pipe irrigation and micro irrigation.
- To ensure PIM and formation of WUA.
- Involvement of WUA in planning and distribution of water.
- Pari Passu CADWM works , if required, would be undertaken expeditiously, in line with the Cabinet approval for irrigation potential utilisation and the State Government will decide the annual work programme in such a way the implementation takes place in an integrated and holistic manner so that irrigation potential created is utilized soon after construction of canal



networks as a whole, Details of such CADWM programme will be submitted before proposal for release of further instalments.

- i) Performance oriented contract preferably on turnkey basis for the balance work including CAD works (wherever work is yet to be awarded) should be in position and status confirmed at the time released as 2<sup>nd</sup> instalment.
- j) Release are subject also to any post adjustment arising out of Finalized District Irrigation Plan (DIP) and State Irrigation Plan (SIP) envisaged under PMKSY; and reconciliation with reference to recommendations of CWC in the due course.
- k) State Government would initiate immediate steps to make additional budget provision to cover the full balance cost for completion of project as per schedule approved by the Cabinet.
- l) The release is "on account" basis, and therefore, subject to reconciliation. Any excess arrived at on the basis of audited figures of expenditure for 2018-19 or reconciliation by Ministry of Water Resources can be adjusted in future releases to the state under this project or other project of the State.
- m) The State Government shall ensure proper upkeep, maintenance and operation of the assets /facilities created out of the project.
- n) The grant should be utilized on the programme as per the MOU and the work schedule therein. Expenditure on items not approved or included under the MOU or deviation from the provisions of approved guidelines of scheme is not permissible.
- o) The Central Assistance along with the corresponding balance State share must be released to the project authorities by the state governments within 15 days of its release by the Government of India, failing which the amount would be recovered from the State Government with interest for the period of default.
- p) A utilisation certificate duly countersigned by the Principal Secretary/Secretary of the concerned Department in ink and stamped will be submitted by the State for the central assistance as also the matching state share in the prescribed format in Form GFR 19-A at the time of making proposal for the next release for the project.
- q) Where no releases have been requested in current FY, Utilization Certificate for Central Assistance as also the matching share released for the project will be submitted by the State in prescribed format in GFR 19-A for FY 2019-20 within one month from expiry of the current financial year 2019-20 i.e. by 30.4.2020.
- r) The Utilization Certificate must contain physical achievement as agreed to in the MoU on year to year basis, and date of release of Central share/ State share to the implementing agency along with respective order number and date.
- s) The States would be required to submit audited statements of expenditure incurred on the components of the project within 18 months of the completion of the financial year in which the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.
- t) If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.
- u) No cost overrun will be allowed without the appraisal and approval of competent authority.
- v) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to MoWR, RD&GR at the end of each financial year.
- w) State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to MoWR, RD&GR by 31<sup>st</sup> July of every financial year.
- x) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
- y) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.



4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to D/oWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.

5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,

- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
- (b) Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS has been developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
- (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
- (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.

6. ***Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.***

7. This issues in consultation with Integrated Finance Division, D/o Water Resources, RD&GR vide their Dy. No.537/IFD/2019-20 dated 31.10.2019

 6.11.2019

(Ashwini Kumar Shukla )  
Sr. Joint Commissioner (SPR-II)  
Ph-23710131

**Copy to :**

1. Finance Secretary, Government of Uttar Pradesh, Lucknow.
2. Planning Secretary, Government of Uttar Pradesh, Lucknow.
3. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
4. Dept. of Economic Affairs, Budget Division (States), North Block, New Delhi
5. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi
6. Dept. of Expenditure (Plan Finance-I Division), North Block, New Delhi.
7. Dept. of Financial Services, 3<sup>rd</sup> Floor, Jeevan Deep Building, Sansad Marg, New Delhi
8. NITI Ayog [SP Division/FR Division/WR Division]. New Delhi
9. Secretary, RBI, Central office Mumbai
10. Manager, RBI CAS Nagpur
11. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017
12. Resident Commissioner, Govt. of UP, UP Bhawan, Kriti Nagar, Block X, Diplomatic Enclave, Chanakyapuri, New Delhi, Delhi -110021.
13. NIC, MoWR, RD&GR for uploading the Ministry's Website
14. PMU, PMKSY, (WAPCOS), New Delhi.

**Copy also to :**

1. PS to Hon'ble Minister, Ministry of Jal Shakti, New Delhi
2. PS to Hon'ble, MoS Ministry of Jal Shakti, New Delhi
3. Sr. PPS to Secretary, D/o WR,RD&GR , New Delhi
4. PPS to JS&FA, D/oWR,RD&GR, New Delhi
5. Commissioner (CAD), D/o WR,RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi

I/39956/2020

**Most Immediate**

**No. 31/2/2009-PR/UP/Arjun Sahayak Project**  
**Government of India**  
**Ministry of Water Resources, RD&GR &GR**  
**State Projects Wing**

**Shram Shakti Bhawan, New Delhi**

**Dated ~~12~~<sup>13</sup>th October , 2020**

To,

The Director General,  
 National Water Development Agency (NWDA),  
 18/20, Community Centre,  
 Saket, New Delhi.

Subject: **‘On Account’** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State’s Annual Plan 2020-21.

The undersigned is directed to convey the sanction of the President of India to the payment of **Rs.15,83,65,000(Rupees Fifteen Crore Eighty Three Lakh and Sixty Five Thousand Only )** to the **Government of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State’s Annual Plan 2020-21 as under:

<b>Sl No</b>	<b>State</b>	<b>Project</b>	<b>Amount of grant being released (in Rs. Crore)</b>
<b>1.</b>	<b>Uttar Pradesh</b>	<b>Arjun Sahayak Project</b>	<b>15.8365</b>

2. Release of 1<sup>st</sup> installment is subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2020-21 would be provided for the purpose.

3. The amount being released subject to the following conditions to be complied by the States:-

- a. Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with project(s).
- b. The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes.
- c. Provisions under GFR 2017 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 2017 in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.
- d. Completion of project as per schedule approved by the Cabinet and as agreed to by the State and as mentioned in the MoU.

I/39956/2020

- e. Maximum use of pressurized pipe irrigation and micro irrigation.
- f. To ensure PIM and formation of WUA.
- g. Involvement of WUA in planning and distribution of water.
- h. Pari Passu CADWM works , if required, would be undertaken expeditiously, in line with the Cabinet approval for irrigation potential utilisation and the State Government will decide the annual work programme in such a way the implementation takes place in an integrated and holistic manner so that irrigation potential created is utilized soon after construction of canal networks as a whole, Details of such CADWM programme will be submitted before proposal for release of further instalments.
- i. Performance oriented contract preferably on turnkey basis for the balance work including CAD works (wherever work is yet to be awarded) should be in position and status confirmed at the time released as 2<sup>nd</sup> instalment.
- j. Release are subject also to any post adjustment arising out of Finalized District Irrigation Plan (DIP) and State Irrigation Plan (SIP) envisaged under PMKSY; and reconciliation with reference to recommendations of CWC in the due course.
- k. State Government would initiate immediate steps to make additional budget provision to cover the full balance cost for completion of project as per schedule approved by the Cabinet.
- l. The release is “on account” basis, and therefore, subject to reconciliation. Any excess arrived at on the basis of audited figures of expenditure for 2018-19 or reconciliation by Ministry of Water Resources can be adjusted in future releases to the state under this project or other project of the State.
- m. The State Government shall ensure proper upkeep, maintenance and operation of the assets /facilities created out of the project.
- n. The grant should be utilized on the programme as per the MOU and the work schedule therein. Expenditure on items not approved or included under the MOU or deviation from the provisions of approved guidelines of scheme is not permissible.
- o. The Central Assistance along with the corresponding balance State share must be released to the project authorities by the state governments within 15 days of its release by the Government of India, failing which the amount would be recovered from the State Government with interest for the period of default.
- p. A utilisation certificate duly countersigned by the Principal Secretary/Secretary of the concerned Department in ink and stamped will be submitted by the State for the central assistance as also the matching state share in the prescribed format in Form GFR 19-A at the time of making proposal for the next release for the project.
- q. Where no releases have been requested in current FY, Utilization Certificate for Central Assistance as also the matching share released for the project will be submitted by the State in prescribed format in GFR 19-A for FY 2020-21 within one month from expiry of the current financial year 2020-21 i.e. by 30.4.2021.
- r. The Utilization Certificate must contain physical achievement as agreed to in the MoU on year to year basis, and date of release of Central share/ State share to the implementing agency along with respective order number and date.
- s. The States would be required to submit audited statements of expenditure incurred on the components of the project within 18 months of the completion of the financial year in which the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.
- t. If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.
- u. No cost overrun will be allowed without the appraisal and approval of competent authority.

I/39956/2020

- v. State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to D/oWR, RD&GR at the end of each financial year.
  - w. State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to D/oWR, RD&GR by 31<sup>st</sup> July of every financial year.
  - x. Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
  - y. Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.
4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to D/oWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.
5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,
- a. Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
  - b. Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS has been developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
  - c. This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
  - d. State shall submit quarterly mapping of account for such release and expenditure by project authority.
6. ***Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.***
7. This issues in consultation with Integrated Finance Division, D/o Water Resources, RD&GR vide their Dy. No.475/IFD/2020-21 dated 05.10.2020.

(Ashwini Kumar Shukla )  
**Sr. Joint Commissioner (SPR-II)**  
**Ph-23710131**

**Copy to :**

1. Finance Secretary, Government of Uttar Pradesh, Lucknow.

I/39956/2020

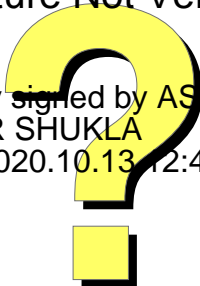
2. Planning Secretary, Government of Uttar Pradesh, Lucknow.
3. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
4. Dept. of Economic Affairs, Budget Division (States), North Block, New Delhi
5. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi
6. Dept. of Expenditure (Plan Finance-I Division), North Block, New Delhi.
7. Dept. of Financial Services, 3<sup>rd</sup> Floor, Jeevan Deep Building, Sansad Marg, New Delhi
8. NITI Ayog [SP Division/FR Division/WR Division]. New Delhi
9. Secretary, RBI, Central office Mumbai
10. Manager, RBI CAS Nagpur
11. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017
12. Resident Commissioner, Govt. of UP, UP Bhawan, Kriti Nagar, Block X, Diplomatic Enclave, Chanakyapuri, New Delhi, Delhi -110021.
13. NIC, MoWR,RD&GR for uploading the Ministry's Website
14. PMU, PMKSY,(WAPCOS), New Delhi.

**Copy also to :**

1. PS to Hon'ble Minister, Ministry of Jal Shakti, New Delhi
2. PS to Hon'ble, MoS Ministry of Jal Shakti, New Delhi
3. Sr. PPS to Secretary, D/o WR,RD&GR , New Delhi
4. PPS to JS&FA, D/oWR,RD&GR, New Delhi
5. Commissioner (CAD), D/o WR,RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi

**Signature Not Verified**

Digitally signed by ASHWINI  
KUMAR SHUKLA  
Date: 2020.10.13 12:42:06 IST



**Most Immediate**

**No. 31/2/2008-PR/UP/Madhya Ganga Canal Stage-II/ 6248-68**  
**Government of India**  
**Ministry of Water Resources, RD&GR &GR**  
**State Projects Wing**

**Shram Shakti Bhawan, New Delhi**  
**Dated 29<sup>th</sup> October, 2018**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

Subject: **'On Account'** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State's Annual Plan 2018-19.

The undersigned is directed to convey the sanction of the President of India to the payment of **Rs.18,81,00,000(Rupees Eighteen Crore Eighty One Lakh Only )** to the **Governments of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2018-19 as under:

Sl. No.	State	Project	Amount of grant being released (in Rs. Crore)
1.	Uttar Pradesh	Madhya Ganga Canal Stage-II	18.81

2. Release of 1<sup>st</sup> installment is subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2018-19 would be provided for the purpose.

3. The amount being released subject to the following conditions to be complied by the States:-

- Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with project(s).
- The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes.
- Provisions under GFR 2017 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 2017 in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.
- Completion of project as per schedule approved by the Cabinet and as agreed to by the State and as mentioned in the MoU.
- Maximum use of pressurized pipe irrigation and micro irrigation.
- To ensure PIM and formation of WUA.
- Involvement of WUA in planning and distribution of water.
- Pari Passu CADWM works , if required, would be undertaken expeditiously, in line with the Cabinet approval for irrigation potential utilisation and the State Government will decide the annual work programme in such a way the implementation takes place in an integrated and holistic manner so that irrigation potential created is utilized soon after construction of canal

*Signature*

networks as a whole, Details of such CADWM programme will be submitted before proposal for release of further instalments.

- i) Performance oriented contract preferably on turnkey basis for the balance work including CAD works (wherever work is yet to be awarded) should be in position and status confirmed at the time released as 2<sup>nd</sup> instalment.
- j) Release are subject also to any post adjustment arising out of Finalized District Irrigation Plan (DIP) and State Irrigation Plan (SIP) envisaged under PMKSY; and reconciliation with reference to recommendations of CWC in the due course.
- k) State Government would initiate immediate steps to make additional budget provision to cover the full balance cost for completion of project as per schedule approved by the Cabinet.
- l) The release is "on account" basis, and therefore, subject to reconciliation. Any excess arrived at on the basis of audited figures of expenditure for 2017-18 or reconciliation by Ministry of Water Resources can be adjusted in future releases to the state under this project or other project of the State.
- m) The State Government shall ensure proper upkeep, maintenance and operation of the assets /facilities created out of the project.
- n) The grant should be utilized on the programme as per the MOU and the work schedule therein. Expenditure on items not approved or included under the MOU or deviation from the provisions of approved guidelines of scheme is not permissible.
- o) The Central Assistance along with the corresponding balance State share must be released to the project authorities by the state governments within 15 days of its release by the Government of India, failing which the amount would be recovered from the State Government with interest for the period of default.
- p) A utilisation certificate duly countersigned by the Principal Secretary/Secretary of the concerned Department in ink and stamped will be submitted by the State for the central assistance as also the matching state share in the prescribed format in Form GFR 19-A at the time of making proposal for the next release for the project.
- q) Where no releases have been requested in current FY, Utilization Certificate for Central Assistance as also the matching share released for the project will be submitted by the State in prescribed format in GFR 19-A for FY 2018-19 within one month from expiry of the current financial year 2018-19 i.e. by 30.4.2019.
- r) The Utilization Certificate must contain physical achievement as agreed to in the MoU on year to year basis, and date of release of Central share/ State share to the implementing agency along with respective order number and date.
- s) The States would be required to submit audited statements of expenditure incurred on the components of the project within 18 months of the completion of the financial year in which the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.
- t) If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.
- u) No cost overrun will be allowed without the appraisal and approval of competent authority.
- v) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to MoWR, RD&GR at the end of each financial year.
- w) State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to MoWR, RD&GR by 31<sup>st</sup> July of every financial year.
- x) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
- y) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.



4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to MoWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.

5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,

- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
- (b) Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS has been developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
- (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
- (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.

6. ***Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.***

7. This issues in consultation with Integrated Finance Division, Ministry of Water Resources, RD&GR &GR vide their Dy. No.327/IFD/2018-19 dated 16.10.2018



**(Bhupinder Singh)**

**Sr. Joint Commissioner (SPR-II)**

**Ph-23710131**

**Copy to :**

- 1. Finance Secretary, Government of Uttar Pradesh, Lucknow.
- 2. Planning Secretary, Government of Uttar Pradesh, Lucknow.
- 3. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
- 4. Dept. of Economic Affairs, Budget Division (States), North Block, New Delhi
- 5. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi
- 6. Dept. of Expenditure (Plan Finance-I Division), North Block, New Delhi.
- 7. Dept. of Financial Services, 3<sup>rd</sup> Floor, Jeevan Deep Building, Sansad Marg, New Delhi
- 8. NITI Ayog [SP Division/FR Division/WR Division]. New Delhi
- 9. Secretary, RBI, Central office Mumbai
- 10. Manager, RBI CAS Nagpur
- 11. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017
- 12. Resident Commissioner, Govt. of UP, UP Bhawan, Kriti Nagar, Block X, Diplomatic Enclave, Chanakyapuri, New Delhi, Delhi -110021.
- 13. NIC, MoWR, RD&GR for uploading the Ministry's Website
- 14. PMU, PMKSY,(WAPCOS), New Delhi.

**Copy also to :**

1. PS to Hon'ble Minister (MoWR,RD&GR), New Delhi
2. PS to Hon'ble, MoS (MoWR,RD&GR), New Delhi
3. Sr. PPS to Secretary (MoWR,RD&GR), New Delhi
4. PPS to JS&FA, MoWR,RD&GR, New Delhi
5. Commissioner (CAD), MoWR,RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi

**Most Immediate**

**No. 31/2/2008-PR/UP/Madhya Ganga Canal Stage-II/20/917-41**  
**Government of India**  
**Ministry of Water Resources, RD&GR &GR**  
**State Projects Section**

**Shram Shakti Bhawan, New Delhi**  
**Dated 20<sup>th</sup> March, 2020**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

Subject: **'On Account'** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State's Annual Plan 2019-20.

The undersigned is directed to convey the sanction of the President of India to the payment of **Rs.17,80,00,000(Rupees Seventeen Crore Eighty Lakh Only)** to the **Government of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2019-20 as under:

Sl No	State	Project	Amount of grant being released (in Rs. Crore)
1.	Uttar Pradesh	Madhya Ganga Canal Stage-II Irrigation Project	17.80

2. Release of 2<sup>nd</sup> installment is subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2019-20 would be provided for the purpose.

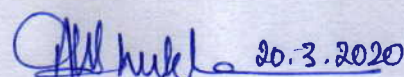
3. The amount being released subject to the following conditions to be complied by the States:-

- Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with project(s).
- The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes and furnish the status with regard to (web based monitoring and geo-tagging of the project.
- State may ensure that releases would be put to use in FY 2019-20 itself and not lead to parking of funds with the State, keeping the interest liability in view.
- Provisions under GFR 2017 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 2017 in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.
- Completion of project as per schedule approved by the Cabinet and as agreed to by the State and as mentioned in the MoU.
- Maximum use of pressurized pipe irrigation and micro irrigation.
- To ensure PIM and formation of WUA.
- Involvement of WUA in planning and distribution of water

- i) Pari Passu CADWM works , if required, would be undertaken expeditiously, in line with the Cabinet approval for irrigation potential utilisation and the State Government will decide the annual work programme in such a way the implementation takes place in an integrated and holistic manner so that irrigation potential created is utilized soon after construction of canal networks as a whole, Details of such CADWM programme will be submitted before proposal for release of further instalments.
- j) Performance oriented contract preferably on turnkey basis for the balance work including CAD works (wherever work is yet to be awarded) should be in position and status confirmed at the time released as 2<sup>nd</sup> instalment.
- k) Release are subject also to any post adjustment arising out of Finalized District Irrigation Plan (DIP) and State Irrigation Plan (SIP) envisaged under PMKSY; and reconciliation with reference to recommendations of CWC in the due course.
- l) State Government would initiate immediate steps to make additional budget provision to cover the full balance cost for completion of project as per schedule approved by the Cabinet.
- m) The release is "on account" basis, and therefore, subject to reconciliation. Any excess arrived at on the basis of audited figures of expenditure for 2018-19 or reconciliation by Ministry of Water Resources can be adjusted in future releases to the state under this project or other project of the State.
- n) The State Government shall ensure proper upkeep, maintenance and operation of the assets /facilities created out of the project.
- o) The grant should be utilized on the programme as per the MOU and the work schedule therein. Expenditure on items not approved or included under the MOU or deviation from the provisions of approved guidelines of scheme is not permissible.
- p) The Central Assistance along with the corresponding balance State share must be released to the project authorities by the state governments within 15 days of its release by the Government of India, failing which the amount would be recovered from the State Government with interest for the period of default.
- q) A utilisation certificate duly countersigned by the Principal Secretary/Secretary of the concerned Department in ink and stamped will be submitted by the State for the central assistance as also the matching state share in the prescribed format in Form GFR 19-A at the time of making proposal for the next release for the project.
- r) Where no releases have been requested in current FY, Utilization Certificate for Central Assistance as also the matching share released for the project will be submitted by the State in prescribed format in GFR 19-A for FY 2019-20 within one month from expiry of the current financial year 2019-20 i.e. by 30.4.2020.
- s) The Utilization Certificate must contain physical achievement as agreed to in the MoU on year to year basis, and date of release of Central share/ State share to the implementing agency along with respective order number and date.
- t) The States would be required to submit audited statements of expenditure incurred on the components of the project as per GFR 237 & 238 (i) within 18 months of the completion of the financial year in which the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.
- u) If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.
- v) No cost overrun will be allowed without the appraisal and approval of competent authority.
- w) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to D/oWR, RD&GR at the end of each financial year.
- x) State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to D/oWR, RD&GR by 31<sup>st</sup> July of every financial year.



- y) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
  - z) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.
4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to D/oWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.
5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,
- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
  - (b) Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS has been developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
  - (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
  - (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.
6. ***Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.***
7. *This issues in consultation with Integrated Finance Division, D/o Water Resources, RD&GR vide their Dy. No.968/IFD/2019-20 dated 20.03.2020*

 20.3.2020

**(Ashwini Kumar Shukla )**  
**Sr. Joint Commissioner (SPR-II)**  
**Ph-23710131**

**Copy to :**

1. Finance Secretary, Government of Uttar Pradesh, Lucknow.
2. Principal Secretary (WRD), Government of Uttar Pradesh, Lucknow.
3. Planning Secretary, Government of Uttar Pradesh, Lucknow.
4. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
5. Dept. of Economic Affairs, Budget Division (States), North Block, New Delhi
6. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi
7. Dept. of Expenditure (Plan Finance-I Division), North Block, New Delhi.
8. Dept. of Financial Services, 3<sup>rd</sup> Floor, Jeevan Deep Building, Sansad Marg, New Delhi
9. NITI Ayog [SP Division/FR Division/WR Division]. New Delhi

10. Secretary, RBI, Central office Mumbai
11. Manager, RBI CAS Nagpur
12. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017
13. Resident Commissioner, Govt. of UP, UP Bhawan, Kriti Nagar, Block X, Diplomatic Enclave, Chanakyapuri, New Delhi, Delhi -110021.
14. NIC, DoWR,RD&GR for uploading the Ministry's Website
15. PMU, PMKSY,(WAPCOS), New Delhi.

**Copy also to :**

1. PS to Hon'ble Minister, Ministry of Jal Shakti, New Delhi
2. PS to Hon'ble, MoS Ministry of Jal Shakti, New Delhi
3. Sr. PPS to Secretary, D/o WR,RD&GR , New Delhi
4. PPS to JS&FA, D/oWR,RD&GR, New Delhi
5. Commissioner (CAD), D/o WR,RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi
7. Chief Engineer (UGBO),CWC, Lucknow.

**Most Immediate**

**No. 31/2/2008-PR/UP/Madhya Ganga Canal Stage-II**  
**Government of India**  
**Ministry of Jal Shakti**  
**Dept. of Water Resources, RD&GR**  
**State Projects Section**

**Shram Shakti Bhawan, New Delhi**  
**Dated 29<sup>th</sup> April, 2020**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

Subject: **'On Account'** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State's Annual Plan 2020-21.

In supersession of earlier sanction order of even number dated 20<sup>th</sup> March 2020 for Central Assistance of Rs. 17.80 crore to Madhya Ganga Canal Stage-II Irrigation Project of Uttar Pradesh during 2019-20 which could not be released by NABARD because of the prevailing market conditions at that time, the undersigned is directed to convey the sanction of the President of India to the payment of **Rs.17,80,00,000(Rupees Seventeen Crore Eighty Lakh Only)** to the **Government of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2020-21 as under:

Sl No	State	Project	Amount of grant being released (in Rs. Crore)
1.	Uttar Pradesh	Madhya Ganga Canal Stage-II Irrigation Project	17.80

2. This release shall be treated as the 1st instalment of 2020-21 subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2020-21 would be provided for the purpose.

3. The amount being released subject to the following conditions to be complied by the States:-

- Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with project(s).
- The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes and furnish the status with regard to (web based monitoring and geo-tagging of the project).
- State may ensure that releases would be put to use in FY 2020-21 itself and not lead to parking of funds with the State, keeping the interest liability in view.
- Provisions under GFR 2017 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 2017 in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.

- e) Completion of project as per schedule approved by the Cabinet and as agreed to by the State and as mentioned in the MoU.
- f) Maximum use of pressurized pipe irrigation and micro irrigation.
- g) To ensure PIM and formation of WUA.
- h) Involvement of WUA in planning and distribution of water.
- i) Pari Passu CADWM works , if required, would be undertaken expeditiously, in line with the Cabinet approval for irrigation potential utilisation and the State Government will decide the annual work programme in such a way the implementation takes place in an integrated and holistic manner so that irrigation potential created is utilized soon after construction of canal networks as a whole, Details of such CADWM programme will be submitted before proposal for release of further instalments.
- j) Performance oriented contract preferably on turnkey basis for the balance work including CAD works (wherever work is yet to be awarded) should be in position and status confirmed at the time released as 2<sup>nd</sup> instalment.
- k) Release are subject also to any post adjustment arising out of Finalized District Irrigation Plan (DIP) and State Irrigation Plan (SIP) envisaged under PMKSY; and reconciliation with reference to recommendations of CWC in the due course.
- l) State Government would initiate immediate steps to make additional budget provision to cover the full balance cost for completion of project as per schedule approved by the Cabinet.
- m) The release is "on account" basis, and therefore, subject to reconciliation. Any excess arrived at on the basis of audited figures of expenditure for 2019-20 or reconciliation by Ministry of Water Resources can be adjusted in future releases to the state under this project or other project of the State.
- n) The State Government shall ensure proper upkeep, maintenance and operation of the assets /facilities created out of the project.
- o) The grant should be utilized on the programme as per the MOU and the work schedule therein. Expenditure on items not approved or included under the MOU or deviation from the provisions of approved guidelines of scheme is not permissible.
- p) The Central Assistance along with the corresponding balance State share must be released to the project authorities by the state governments within 15 days of its release by the Government of India, failing which the amount would be recovered from the State Government with interest for the period of default.
- q) A utilisation certificate duly countersigned by the Principal Secretary/Secretary of the concerned Department in ink and stamped will be submitted by the State for the central assistance as also the matching state share in the prescribed format in Form GFR 19-A at the time of making proposal for the next release for the project.
- r) Where no releases have been requested in current FY, Utilization Certificate for Central Assistance as also the matching share released for the project will be submitted by the State in prescribed format in GFR 19-A for FY 2020-21 within one month from expiry of the current financial year 2020-21 i.e. by 30.4.2021.
- s) The Utilization Certificate must contain physical achievement as agreed to in the MoU on year to year basis, and date of release of Central share/ State share to the implementing agency along with respective order number and date.
- t) The States would be required to submit audited statements of expenditure incurred on the components of the project as per GFR 237 & 238 (i) within 18 months of the completion of the financial year in which the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.
- u) If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.
- v) No cost overrun will be allowed without the appraisal and approval of competent authority.
- w) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to D/oWR, RD&GR at the end of each financial year.

- x) State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to D/oWR, RD&GR by 31<sup>st</sup> July of every financial year.
  - y) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
  - z) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.
4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to D/oWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.
5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,
- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
  - (b) Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS has been developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
  - (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
  - (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.
- 6. Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project. This is further subject to the approval of Ministry of Finance for raising of EBR during the current year.**
- 7. The State Government must ensure that the funds are released to the project immediately as soon as they are received by the State.**
8. This issues in consultation with Integrated Finance Division, D/o WR, RD&GR vide their Dy. No. 08/IFD/2020-21 dated 15.04.2020.

 29.4.20

**(Ashwini Kumar Shukla )**  
**Sr. Joint Commissioner (SPR-II)**  
**Email: [sjcpr-mowr@nic.in](mailto:sjcpr-mowr@nic.in)**  
**[dcspr-mowr@nic.in](mailto:dcspr-mowr@nic.in)**  
**Ph: 011-23710131**

**Copy to :**

1. Finance Secretary, Government of Uttar Pradesh, Lucknow.
2. Principal Secretary (WRD), Government of Uttar Pradesh, Lucknow.

3. Planning Secretary, Government of Uttar Pradesh, Lucknow.
4. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
5. Dept. of Economic Affairs, Budget Division (States), North Block, New Delhi
6. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi
7. Dept. of Expenditure (Plan Finance-I Division), North Block, New Delhi.
8. Dept. of Financial Services, 3<sup>rd</sup> Floor, Jeevan Deep Building, Sansad Marg, New Delhi
9. NITI Ayog [SP Division/FR Division/WR Division]. New Delhi
10. Secretary, RBI, Central office Mumbai
11. Manager, RBI CAS Nagpur
12. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017
13. Resident Commissioner, Govt. of UP, UP Bhawan, Kriti Nagar, Block X, Diplomatic Enclave, Chanakyaपुरi, New Delhi, Delhi -110021.
14. NIC, DoWR,RD&GR for uploading the Ministry's Website
15. PMU, PMKSY,(WAPCOS), New Delhi.

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2. PS to Hon'ble, MoS Ministry of Jal Shakti, New Delhi
3. Sr. PPS to Secretary, D/o WR,RD&GR , New Delhi
4. PPS to JS&FA, D/oWR,RD&GR, New Delhi
5. Commissioner (CAD), D/o WR,RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi
7. Chief Engineer (UGBO),CWC, Lucknow.



**Most Immediate**

No. 31/2/2008-PR/UP/Bansagar canal Project/ 734 - 760,

**Government of India  
Ministry of Water Resources,  
River Development and Ganga Rejuvenation  
State Projects Wing**

**Shram Shakti Bhawan, New Delhi  
Dated 7<sup>th</sup> March, 2018**

To,

The Director General,  
National Water Development Agency (NWDA),  
18-20, Community Centre, Saket, New Delhi.

Subject: 'On Account' payment of Grant Component of Central Assistance under Accelerated Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY for the State's Annual Plan 2017-18.

The undersigned is directed to convey the sanction of the President of India to the payment of Rs.63,36,06,000/- (Rupees Sixty-Three Crore Thirty-Six Lakhs and Six Thousand only) to the Government of Uttar Pradesh being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2017-18 as under:

1.	Uttar Pradesh	Bansagar Canal Project	6336.06
Total Release for Uttar Pradesh Rs. 6336.06 Lakhs			

2. Release of 1<sup>st</sup> installment is subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2017-18 would be provided for the purpose by the State Government.

3. The amount being released subject to the following conditions to be complied by the State Government:

- Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority(ies) concerned with project(s).
- The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes.
- Provisions under GFR 2017 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 215(2) in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.

*R. Singh*

- u) No cost overrun will be allowed without the appraisal and approval of competent authority.
  - v) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to MoWR, RD& GR at the end of each financial year.
  - w) State Government is to ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to MoWR by 31<sup>st</sup> July of every financial year.
  - x) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
  - y) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.
  - z) Parking of Fund by State Government should be avoided.
4. The grant to the State **Government of Uttar Pradesh** or to the agency as has been identified by and communicated to MoWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.
5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before subsequent release is made. Further,
- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
  - (b) Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS may be developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
  - (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released in one installment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
6. ***Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.***



(Bhupinder Singh)  
Sr. Joint Commissioner (SPR-II)

**Most Immediate**

**No. 31/2/2008-PR/UP/Bansagar Project/ 6/2 -32 ,**

**Government of India  
Ministry of Water Resources, RD&GR &GR  
State Projects Wing**

**Shram Shakti Bhawan, New Delhi  
Dated 11<sup>th</sup> February , 2019**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

Subject: **'On Account'** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State's Annual Plan 2018-19.

The undersigned is directed to convey the sanction of the President of India to the payment of **Rs.15,51,00,000(Rupees Fifteen Crore Fifty One Lakh Only )** to the **Governments of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2018-19 as under:

Sl No	State	Project	Amount of grant being released (in Rs. Crore)
1.	Uttar Pradesh	Bansagar Project	15.51

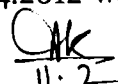
2. Release of full final installment is subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2018-19 would be provided for the purpose.

3. The amount being released subject to the following conditions to be complied by the States:-

- Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with project(s).
- The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes.
- Provisions under GFR 2017 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 2017 in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.
- Completion of project as per schedule approved by the Cabinet and as agreed to by the State and as mentioned in the MoU.
- Maximum use of pressurized pipe irrigation and micro irrigation.
- To ensure PIM and formation of WUA.
- Involvement of WUA in planning and distribution of water.
- Pari Passu CADWM works , if required, would be undertaken expeditiously, in line with the Cabinet approval for irrigation potential utilisation and the State Government will decide the annual work programme in such a way the implementation takes place in an integrated and holistic manner so that irrigation potential created is utilized soon after construction of canal

networks as a whole, Details of such CADWM programme will be submitted before proposal for release of further instalments.

- i) Performance oriented contract preferably on turnkey basis for the balance work including CAD works (wherever work is yet to be awarded) should be in position and status confirmed at the time released as 2<sup>nd</sup> instalment.
- j) Release are subject also to any post adjustment arising out of Finalized District Irrigation Plan (DIP) and State Irrigation Plan (SIP) envisaged under PMKSY; and reconciliation with reference to recommendations of CWC in the due course.
- k) State Government would initiate immediate steps to make additional budget provision to cover the full balance cost for completion of project as per schedule approved by the Cabinet.
- l) The release is "on account" basis, and therefore, subject to reconciliation. Any excess arrived at on the basis of audited figures of expenditure for 2017-18 or reconciliation by Ministry of Water Resources can be adjusted in future releases to the state under this project or other project of the State.
- m) The State Government shall ensure proper upkeep, maintenance and operation of the assets /facilities created out of the project.
- n) The grant should be utilized on the programme as per the MOU and the work schedule therein. Expenditure on items not approved or included under the MOU or deviation from the provisions of approved guidelines of scheme is not permissible.
- o) The Central Assistance along with the corresponding balance State share must be released to the project authorities by the state governments within 15 days of its release by the Government of India, failing which the amount would be recovered from the State Government with interest for the period of default.
- p) A utilisation certificate duly countersigned by the Principal Secretary/Secretary of the concerned Department in ink and stamped will be submitted by the State for the central assistance as also the matching state share in the prescribed format in Form GFR 19-A at the time of making proposal for the next release for the project.
- q) Where no releases have been requested in current FY, Utilization Certificate for Central Assistance as also the matching share released for the project will be submitted by the State in prescribed format in GFR 19-A for FY 2018-19 within one month from expiry of the current financial year 2018-19 i.e. by 30.4.2019.
- r) The Utilization Certificate must contain physical achievement as agreed to in the MoU on year to year basis, and date of release of Central share/ State share to the implementing agency along with respective order number and date.
- s) The States would be required to submit audited statements of expenditure incurred on the components of the project within 18 months of the completion of the financial year in which the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.
- t) If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.
- u) No cost overrun will be allowed without the appraisal and approval of competent authority.
- v) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to MoWR, RD&GR at the end of each financial year.
- w) State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to MoWR, RD&GR by 31<sup>st</sup> July of every financial year.
- x) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
- y) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.

  
11.2

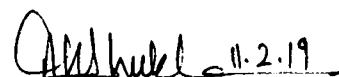
4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to MoWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.

5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,

- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
- (b) Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS has been developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
- (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
- (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.

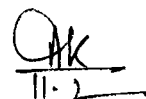
6. ***Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.***

7. *This issues in consultation with Integrated Finance Division, Ministry of Water Resources, RD&GR &GR vide their Dy. No.583/IFD/2018-19 dated 11.02.2019*

  
(Ashwini Kumar Shukla)  
Sr. Joint Commissioner (SPR-II)  
Ph-23710131

**Copy to :**

1. Finance Secretary, Government of Uttar Pradesh, Lucknow.
2. Planning Secretary, Government of Uttar Pradesh, Lucknow.
3. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
4. Dept. of Economic Affairs, Budget Division (States), North Block, New Delhi
5. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi
6. Dept. of Expenditure (Plan Finance-I Division), North Block, New Delhi.
7. Dept. of Financial Services, 3<sup>rd</sup> Floor, Jeevan Deep Building, Sansad Marg, New Delhi
8. NITI Ayog [SP Division/FR Division/WR Division]. New Delhi
9. Secretary, RBI, Central office Mumbai
10. Manager, RBI CAS Nagpur
11. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017
12. Resident Commissioner, Govt. of UP, UP Bhawan, Kriti Nagar, Block X, Diplomatic Enclave, Chanakyapuri, New Delhi, Delhi -110021.
13. NIC, MoWR, RD&GR for uploading the Ministry's Website
14. PMU, PMKSY, (WAPCOS), New Delhi.

  
11.2

**Copy also to :**

1. PS to Hon'ble Minister (MoWR, RD&GR), New Delhi
2. PS to Hon'ble, MoS (MoWR, RD&GR), New Delhi
3. Sr. PPS to Secretary (MoWR, RD&GR), New Delhi
4. PPS to JS&FA, MoWR, RD&GR, New Delhi
5. Commissioner (CAD), MoWR, RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi

CMK  
11-2

**Most Immediate**

**No. 31/2/2013-PR/UP/Saryu Nahar Priyojana/4397-4417,  
Government of India  
Ministry of Water Resources, RD&GR &GR  
State Projects Wing**

**Shram Shakti Bhawan, New Delhi  
Dated August 20, 2018**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

Subject: **'On Account'** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State's Annual Plan 2018-19.

The undersigned is directed to convey the sanction of the President of India to the payment of **Rs.213,42,00,000(Rupees Two Hundred Thirteen Crore Forty Two Lakh Only )** to the **Governments of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2018-19 as under:

Sl No	State	Project	Amount of grant being released (in Rs. Crore)
1.	Uttar Pradesh	Saryu Nahar Pariyojana	213. 42

2. Release of 1<sup>st</sup> installment is subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2018-19 would be provided for the purpose.

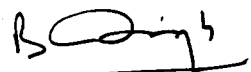
3. The amount being released subject to the following conditions to be complied by the States:-

- Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with project(s).
- The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes.
- Provisions under GFR 2017 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 2017 in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.
- Completion of project as per schedule approved by the Cabinet and as agreed to by the State and as mentioned in the MoU.
- Maximum use of pressurized pipe irrigation and micro irrigation.
- To ensure PIM and formation of WUA.
- Involvement of WUA in planning and distribution of water.
- Pari Passu CADWM works , if required, would be undertaken expeditiously, in line with the Cabinet approval for irrigation potential utilisation and the State Government will decide the annual work programme in such a way the implementation takes place in an integrated and holistic manner so that irrigation potential created is utilized soon after construction of canal



networks as a whole, Details of such CADWM programme will be submitted before proposal for release of further instalments.

- i) Performance oriented contract preferably on turnkey basis for the balance work including CAD works (wherever work is yet to be awarded) should be in position and status confirmed at the time released as 2<sup>nd</sup> instalment.
- j) Release are subject also to any post adjustment arising out of Finalized District Irrigation Plan (DIP) and State Irrigation Plan (SIP) envisaged under PMKSY; and reconciliation with reference to recommendations of CWC in the due course.
- k) State Government would initiate immediate steps to make additional budget provision to cover the full balance cost for completion of project as per schedule approved by the Cabinet.
- l) The release is "on account" basis, and therefore, subject to reconciliation. Any excess arrived at on the basis of audited figures of expenditure for 2017-18 or reconciliation by Ministry of Water Resources can be adjusted in future releases to the state under this project or other project of the State.
- m) The State Government shall ensure proper upkeep, maintenance and operation of the assets /facilities created out of the project.
- n) The grant should be utilized on the programme as per the MOU and the work schedule therein. Expenditure on items not approved or included under the MOU or deviation from the provisions of approved guidelines of scheme is not permissible.
- o) The Central Assistance along with the corresponding balance State share must be released to the project authorities by the state governments within 15 days of its release by the Government of India, failing which the amount would be recovered from the State Government with interest for the period of default.
- p) A utilisation certificate duly countersigned by the Principal Secretary/Secretary of the concerned Department in ink and stamped will be submitted by the State for the central assistance as also the matching state share in the prescribed format in Form GFR 19-A at the time of making proposal for the next release for the project.
- q) Where no releases have been requested in current FY, Utilization Certificate for Central Assistance as also the matching share released for the project will be submitted by the State in prescribed format in GFR 19-A for FY 2018-19 within one month from expiry of the current financial year 2018-19 i.e. by 30.4.2019.
- r) The Utilization Certificate must contain physical achievement as agreed to in the MoU on year to year basis, and date of release of Central share/ State share to the implementing agency along with respective order number and date.
- s) The States would be required to submit audited statements of expenditure incurred on the components of the project within 18 months of the completion of the financial year in which the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.
- t) If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.
- u) No cost overrun will be allowed without the appraisal and approval of competent authority.
- v) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to MoWR, RD&GR at the end of each financial year.
- w) State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to MoWR, RD&GR by 31<sup>st</sup> July of every financial year.
- x) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
- y) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.
- z) Audited statements for the year 2014-15 and 2015-16 shall be submitted immediately.



aa) Central share and corresponding State share shall be incurred only on components covered under National Project.

4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to MoWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.

5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,

- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
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- (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
- (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.

6. ***Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.***



**(Bhupinder Singh)**  
**Sr. Joint Commissioner (SPR-II)**  
**Ph-23710131**

**Copy to :**

1. Finance Secretary, Government of Uttar Pradesh, Lucknow.
2. Planning Secretary, Government of Uttar Pradesh, Lucknow.
3. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
4. Dept. of Economic Affairs, Budget Division (States), North Block, New Delhi
5. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi
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8. NITI Ayog [SP Division/FR Division/WR Division]. New Delhi
9. Secretary, RBI, Central office Mumbai
10. Manager, RBI CAS Nagpur
11. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017

12. Resident Commissioner, Govt. of UP, UP Bhawan, Kriti Nagar, Block X, Diplomatic Enclave, Chanakypuri, New Delhi, Delhi -110021.
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14. PMU, PMKSY,(WAPCOS), New Delhi.

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2. PS to Hon'ble, MoS (MoWR,RD&GR), New Delhi
3. Sr. PPS to Secretary (MoWR,RD&GR), New Delhi
4. PPS to JS&FA, MoWR,RD&GR, New Delhi
5. Commissioner (CAD), MoWR,RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi

**Most Immediate**

**No. 31/2/2013-PR/UP/Saryu Nahar Priyojana /1377-98**  
**Government of India**  
**Ministry of Water Resources, RD&GR &GR**  
**State Projects Wing**

**Shram Shakti Bhawan, New Delhi**  
**Dated 20<sup>th</sup> March, 2019**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

Subject: **'On Account'** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State's Annual Plan 2018-19.

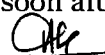
The undersigned is directed to convey the sanction of the President of India to the payment of **Rs.91,58,00,000 (Rupees Ninety One Crore Fifty Eight Lakh Only )** to the **Governments of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2018-19 as under:

Sl No	State	Project	Amount of grant being released (in Rs. Crore)
1.	Uttar Pradesh	Saryu Nahar Pariyojana	91.58

2. Release of 2<sup>nd</sup> installment is subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2018-19 would be provided for the purpose.

3. The amount being released subject to the following conditions to be complied by the States:-

- Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with project(s).
- The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes.
- Provisions under GFR 2017 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 2017 in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.
- Completion of project as per schedule approved by the Cabinet and as agreed to by the State and as mentioned in the MoU.
- Maximum use of pressurized pipe irrigation and micro irrigation.
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- k) State Government would initiate immediate steps to make additional budget provision to cover the full balance cost for completion of project as per schedule approved by the Cabinet.
- l) The release is "on account" basis, and therefore, subject to reconciliation. Any excess arrived at on the basis of audited figures of expenditure for 2017-18 or reconciliation by Ministry of Water Resources can be adjusted in future releases to the state under this project or other project of the State.
- m) The State Government shall ensure proper upkeep, maintenance and operation of the assets /facilities created out of the project.
- n) The grant should be utilized on the programme as per the MOU and the work schedule therein. Expenditure on items not approved or included under the MOU or deviation from the provisions of approved guidelines of scheme is not permissible.
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- p) A utilisation certificate duly countersigned by the Principal Secretary/Secretary of the concerned Department in ink and stamped will be submitted by the State for the central assistance as also the matching state share in the prescribed format in Form GFR 19-A at the time of making proposal for the next release for the project.
- q) Where no releases have been requested in current FY, Utilization Certificate for Central Assistance as also the matching share released for the project will be submitted by the State in prescribed format in GFR 19-A for FY 2018-19 within one month from expiry of the current financial year 2018-19 i.e. by 30.4.2019.
- r) The Utilization Certificate must contain physical achievement as agreed to in the MoU on year to year basis, and date of release of Central share/ State share to the implementing agency along with respective order number and date.
- s) The States would be required to submit audited statements of expenditure incurred on the components of the project within 18 months of the completion of the financial year in which the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.
- t) If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.
- u) No cost overrun will be allowed without the appraisal and approval of competent authority.
- v) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to MoWR, RD&GR at the end of each financial year.
- w) State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to MoWR, RD&GR by 31<sup>st</sup> July of every financial year.
- x) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
- y) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.

OK

- z) Audited statements for the year 2014-15, 2015-16 and 2017-18 shall be submitted immediately.
- aa) Central share and corresponding State share shall be incurred only on components covered under National Project.


4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to MoWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.

5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,

- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
- (b) Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS has been developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
- (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
- (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.

6. ***Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.***

7. Further, due to enforcement of Model Code of Conduct for General Election in Country, the conditions mentioned in the NOC issued by Election Commission of India vide letter No 437/6/CG/ECI/LET/FUNCT/MCC/2019 dated 15.03.2019 (copy enclosed) for release of CA, have to be strictly adhered.

  
(Ashwini Kumar Shukla)  
Sr. Joint Commissioner (SPR-II)  
Ph-23710131



**Copy to :**

1. Finance Secretary, Government of Uttar Pradesh, Lucknow.
2. Planning Secretary, Government of Uttar Pradesh, Lucknow.
3. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
4. Dept. of Economic Affairs, Budget Division (States), North Block, New Delhi
5. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi
6. Dept. of Expenditure (Plan Finance-I Division), North Block, New Delhi.
7. Dept. of Financial Services, 3<sup>rd</sup> Floor, Jeevan Deep Building, Sansad Marg, New Delhi
8. NITI Ayog [SP Division/FR Division/WR Division]. New Delhi

9. Secretary, RBI, Central office Mumbai
10. Manager, RBI CAS Nagpur
11. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017
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3. Sr. PPS to Secretary (MoWR,RD&GR), New Delhi
4. PPS to JS&FA, MoWR,RD&GR, New Delhi
5. Commissioner (CAD), MoWR,RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi



भारत निर्वाचन आयोग सचिवालय  
SECRETARIAT OF THE ELECTION COMMISSION OF INDIA  
निर्वाचन सदन, अशोक रोड, नई दिल्ली-110001  
Nirvachan Sadan, Ashoka Road, New Delhi-110001.

No. 437/6/CG/ECI/LET/FUNCT/MCC/2019

Dated: 15<sup>th</sup> March, 2019

To

Secretary,  
Government of India,  
Ministry of Water Resources, River Development & Ganga Rejuvenation,  
Shastri Bhawan, New Delhi-110001

[Kind Attn.: Shri Ashwini Kumar Shukla, Sr. Joint Commissioner (SPR-II)]

Subject: - NoC for release of central assistance to State Governments towards projects approved by GoI in XI and XII plan prior to 10.03.2019s - regarding.

Sir/Madam,

I am directed to refer to letter No. P-19011/3/2018/SPR/1245-50 dated 13<sup>th</sup> March, 2019, on the subject cited, and to state that the Commission has no objection from MCC angle to the proposal made therein subject to the following :-

- (1) There shall be no publicity in this regard in electronic, print, radio, internet or any other media, in any form whatsoever.
- (2) No political functionary shall make any reference in this regard during any public speech or communication to the press or public.
- (3) These restrictions on publicity will be applicable to the Central Government as well as the State Governments concerned.
- (4) The relevant provisions of Model Code of Conduct issued by the Commission shall be strictly followed.
- (5) No new work shall be taken up afresh during MCC period.

Yours faithfully,

  
(NARENDRA N. BUTOLIA)  
PRINCIPAL SECRETARY

**Most Immediate**

**No. 31/2/2013-PR/UP/Saryu Nahar Priyojana of National Project/5228-48**  
**Government of India**  
**Ministry of Water Resources, RD&GR &GR**  
**State Projects Section**

**Shram Shakti Bhawan, New Delhi**  
**Dated 18<sup>th</sup> December , 2019**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

Subject: **'On Account'** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State's Annual Plan 2019-20.

The undersigned is directed to convey the sanction of the President of India to the payment of **Rs.358,30,00,000(Rupees Three Hundred Fifty Eight Crore Thirty Lakh Only)** to the **Government of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2019-20 as under:

Sl No	State	Project	Amount of grant being released (in Rs. Crore)
1.	Uttar Pradesh	Saryu Nahar Priyojana	358.30

2. Release of 1<sup>st</sup> installment is subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2019-20 would be provided for the purpose.

3. The amount being released subject to the following conditions to be complied by the States:-

- Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with project(s).
- The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes.
- Provisions under GFR 2017 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 2017 in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.



- d) Completion of project as per schedule approved by the Cabinet and as agreed to by the State and as mentioned in the MoU.
- e) Maximum use of pressurized pipe irrigation and micro irrigation.
- f) To ensure PIM and formation of WUA.
- g) Involvement of WUA in planning and distribution of water.
- h) Pari Passu CADWM works , if required, would be undertaken expeditiously, in line with the Cabinet approval for irrigation potential utilisation and the State Government will decide the annual work programme in such a way the implementation takes place in an integrated and holistic manner so that irrigation potential created is utilized soon after construction of canal networks as a whole, Details of such CADWM programme will be submitted before proposal for release of further instalments.
- i) Performance oriented contract preferably on turnkey basis for the balance work including CAD works (wherever work is yet to be awarded) should be in position and status confirmed at the time released as 2<sup>nd</sup> instalment.
- j) Release are subject also to any post adjustment arising out of Finalized District Irrigation Plan (DIP) and State Irrigation Plan (SIP) envisaged under PMKSY; and reconciliation with reference to recommendations of CWC in the due course.
- k) State Government would initiate immediate steps to make additional budget provision to cover the full balance cost for completion of project as per schedule approved by the Cabinet.
- l) The release is "on account" basis, and therefore, subject to reconciliation. Any excess arrived at on the basis of audited figures of expenditure for 2018-19 or reconciliation by Ministry of Water Resources can be adjusted in future releases to the state under this project or other project of the State.
- m) The State Government shall ensure proper upkeep, maintenance and operation of the assets /facilities created out of the project.
- n) The grant should be utilized on the programme as per the MOU and the work schedule therein. Expenditure on items not approved or included under the MOU or deviation from the provisions of approved guidelines of scheme is not permissible.
- o) The Central Assistance along with the corresponding balance State share must be released to the project authorities by the state governments within 15 days of its release by the Government of India, failing which the amount would be recovered from the State Government with interest for the period of default.
- p) A utilisation certificate duly countersigned by the Principal Secretary/Secretary of the concerned Department in ink and stamped will be submitted by the State for the central assistance as also the matching state share in the prescribed format in Form GFR 19-A at the time of making proposal for the next release for the project.
- q) Where no releases have been requested in current FY, Utilization Certificate for Central Assistance as also the matching share released for the project will be submitted by the State in prescribed format in GFR 19-A for FY 2019-20 within one month from expiry of the current financial year 2019-20 i.e. by 30.4.2020.
- r) The Utilization Certificate must contain physical achievement as agreed to in the MoU on year to year basis, and date of release of Central share/ State share to the implementing agency along with respective order number and date.
- s) The States would be required to submit audited statements of expenditure incurred on the components of the project within 18 months of the completion of the financial year in which



the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.

- t) If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.
- u) No cost overrun will be allowed without the appraisal and approval of competent authority.
- v) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to D/oWR, RD&GR at the end of each financial year.
- w) State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to D/oWR, RD&GR by 31<sup>st</sup> July of every financial year.
- x) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
- y) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.

4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to D/oWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.

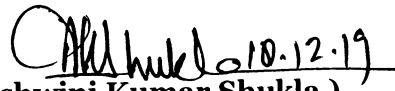
5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,

- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
- (b) Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS has been developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
- (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
- (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.



6. **Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project.**

7. This issues in consultation with Integrated Finance Division, D/o Water Resources, RD&GR vide their Dy. No.640/IFD/2019-20 dated 12.12.2019

  
(Ashwini Kumar Shukla )  
Sr. Joint Commissioner (SPR-II)  
Ph-23710131

**Copy to :**

1. Finance Secretary, Government of Uttar Pradesh, Lucknow.
2. Planning Secretary, Government of Uttar Pradesh, Lucknow.
3. Accountant General (A&E), State Government of Uttar Pradesh, Lucknow.
4. Dept. of Economic Affairs, Budget Division (States), North Block, New Delhi
5. Principal Director of Audit, Scientific Department, AGCR Building, ITO, New Delhi
6. Dept. of Expenditure (Plan Finance-I Division), North Block, New Delhi.
7. Dept. of Financial Services, 3 <sup>rd</sup> Floor, Jeevan Deep Building, Sansad Marg, New Delhi
8. NITI Ayog [SP Division/FR Division/WR Division]. New Delhi
9. Secretary, RBI, Central office Mumbai
10. Manager, RBI CAS Nagpur
11. Director (Finance), NWDA, 18-20, Community Centre, Saket, New Delhi-110017
12. Resident Commissioner, Govt. of UP, UP Bhawan, Kriti Nagar, Block X, Diplomatic Enclave, Chanakyapuri, New Delhi, Delhi -110021.
13. NIC, DoWR, RD&GR for uploading the Ministry's Website
14. PMU, PMKSY, (WAPCOS), New Delhi.

**Copy also to :**

1. PS to Hon'ble Minister, Ministry of Jal Shakti, New Delhi
2. PS to Hon'ble, MoS Ministry of Jal Shakti, New Delhi
3. Sr. PPS to Secretary, D/o WR, RD&GR, New Delhi
4. PPS to JS&FA, D/o WR, RD&GR, New Delhi
5. Commissioner (CAD), D/o WR, RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi

**Most Immediate**

**No. 31/2/2013-PR/UP/Saryu Nahar Priyojana of National Project  
Government of India  
Ministry of Jal Shakti  
Dept. of Water Resources, RD & GR  
State Projects Section**

**Shram Shakti Bhawan, New Delhi  
Dated 29<sup>th</sup> April, 2020**

To,

The Director General,  
National Water Development Agency (NWDA),  
18/20, Community Centre,  
Saket, New Delhi.

Subject: **'On Account'** payment of Grant Component of Central Assistance under Accelerated **Irrigation Benefit Programme (AIBP) Capital Assets under PMKSY** for the State's Annual Plan 2020-21.

In supersession of earlier sanction order of even number dated 12<sup>th</sup> March 2020 for Central Assistance of Rs. 358.30 crore to Saryu Nahar Pariyojana National Project of Uttar Pradesh during 2019-20 which could not be released by NABARD because of the prevailing market conditions at that time, the undersigned is directed to convey the sanction of the President of India to the payment of **Rs.358,30,00,000(Rupees Three Hundred Fifty Eight Crore Thirty Lakh Only)** to the **Government of Uttar Pradesh** being grant under Accelerated Irrigation Benefit Programme (AIBP) under PMKSY for State's Annual Plan 2020-21 as under:

Sl No	State	Project	Amount of grant being released (in Rs. Crore)
1.	Uttar Pradesh	Saryu Nahar Priyojana National Project	358.30

2. This release shall be treated as the 1st instalment of 2020-21 subject to specific confirmation by the State of the physical progress and that (a) there are no shortfalls against the targets under MoU, and (b) adequate budget is being provided to ensure completion of project in time. A schedule of financial progress for remaining period of implementation i.e. 2020-21 would be provided for the purpose.

3. The amount being released subject to the following conditions to be complied by the States:-

- Necessary sanctions/approvals/compliances including codal formalities required under the statutory or other regulatory regime as applicable would be obtained by the authority (ies) concerned with project(s).
- The State Government and the implementing agency shall put in place a monitoring system to ensure that the project(s) achieve its scheduled milestone and envisaged outcomes and furnish the status with regard to (web based monitoring and geo-tagging of the project).
- State may ensure that releases would be put to use in FY 2020-21 itself and not lead to parking of funds with the State, keeping the interest liability in view.
- Provisions under GFR 2017 as also GOI directions issued from time to time in relation to Grants-in-Aid to states and utilization thereof need to be adhered to in general and those under GFR 2017 in relation to Centrally Sponsored Scheme in particular. The grant is further subject to requirements of/under AIBP and PMKSY guidelines.



- e) Completion of project as per schedule approved by the Cabinet and as agreed to by the State and as mentioned in the MoU.
- f) Maximum use of pressurized pipe irrigation and micro irrigation.
- g) To ensure PIM and formation of WUA.
- h) Involvement of WUA in planning and distribution of water.
- i) Pari Passu CADWM works , if required, would be undertaken expeditiously, in line with the Cabinet approval for irrigation potential utilisation and the State Government will decide the annual work programme in such a way the implementation takes place in an integrated and holistic manner so that irrigation potential created is utilized soon after construction of canal networks as a whole, Details of such CADWM programme will be submitted before proposal for release of further instalments.
- j) Performance oriented contract preferably on turnkey basis for the balance work including CAD works (wherever work is yet to be awarded) should be in position and status confirmed at the time released as 2<sup>nd</sup> instalment.
- k) Releases are subject also to any post adjustment arising out of Finalized District Irrigation Plan (DIP) and State Irrigation Plan (SIP) envisaged under PMKSY; and reconciliation with reference to recommendations of CWC in the due course.
- l) State Government would initiate immediate steps to make additional budget provision to cover the full balance cost for completion of project as per schedule approved by the Cabinet.
- m) The release is “on account” basis, and therefore, subject to reconciliation. Any excess arrived at on the basis of audited figures of expenditure for 2019-20 or reconciliation by Ministry of Water Resources can be adjusted in future releases to the state under this project or other project of the State.
- n) The State Government shall ensure proper upkeep, maintenance and operation of the assets /facilities created out of the project.
- o) The grant should be utilized on the programme as per the MOU and the work schedule therein. Expenditure on items not approved or included under the MOU or deviation from the provisions of approved guidelines of scheme is not permissible.
- p) The Central Assistance along with the corresponding balance State share must be released to the project authorities by the state governments within 15 days of its release by the Government of India, failing which the amount would be recovered from the State Government with interest for the period of default.
- q) A utilisation certificate duly countersigned by the Principal Secretary/Secretary of the concerned Department in ink and stamped will be submitted by the State for the central assistance as also the matching state share in the prescribed format in Form GFR 19-A at the time of making proposal for the next release for the project.
- r) Where no releases have been requested in current FY, Utilization Certificate for Central Assistance as also the matching share released for the project will be submitted by the State in prescribed format in GFR 19-A for FY 2020-21 within one month from expiry of the current financial year 2020-21 i.e. by 30.4.2021.
- s) The Utilization Certificate must contain physical achievement as agreed to in the MoU on year to year basis, and date of release of Central share/ State share to the implementing agency along with respective order number and date.
- t) The States would be required to submit audited statements of expenditure incurred on the components of the project as per GFR 237 & 238 (i) within 18 months of the completion of the financial year in which the Central Assistance is released. The release of Central Assistance of the following years will not be considered if audited statement of expenditure is not furnished with stipulated period.
- u) If the State Government fails to comply with the agreed date of completion, the grant component released will be treated as loan and recovered as per usual terms of recovery of the Central Loan, as per tripartite agreement with NABARD, State Government and Government of India.
- v) No cost overrun will be allowed without the appraisal and approval of competent authority.

- w) State Government will ensure concurrent evaluation including quality control aspects by an independent agency and submit such report to D/oWR, RD&GR at the end of each financial year.
  - x) State Government will ensure quality control in the execution of the works and a report on the progress of works during preceding financial year to be submitted to D/oWR, RD&GR by 31<sup>st</sup> July of every financial year.
  - y) Conformity to the conditions put in previous sanctions and conformity in general to the approved guidelines of AIBP for the 12<sup>th</sup> Plan, including in relating to scheduled/stipulated period of completion of projects, as also to the PMKSY guidelines.
  - z) Any further cost escalation beyond 20% of the cost as on 1.4.2012 would be borne by the State Government.
4. The grant to the **State Government of Uttar Pradesh** or to the agency as has been identified by and communicated to D/oWR, RD & GR by the State Government may be released through account of the NWDA maintained for this purpose.
5. The payment is adjustable in the account of the NWDA in the books maintained for this purpose. MoU with Ministry and agreement in respect of NABARD funding (if involved) as envisaged under cabinet approval would be signed by the State before release is made. Further,
- (a) Workability of release from the account of NWDA in a commercial bank vis-a-vis credit in state treasury may be settled in consultation with State Finance Department, a commitment obtained before seeking funds from NABARD;
  - (b) Considering the huge financial liabilities that would arise by way of debt servicing, it should be ensured that the releases are just-in-time as advocated by Ministry of Finance to bring down cost of borrowing. An effective MIS has been developed by NWDA with online monitoring /feeding of data by states to enable releases on monthly/bi-monthly/quarterly basis duly calibrated to progress of expenditure under the project.
  - (c) This sanction order may be treated as an authorization to release the specified sum. However, the release may be regulated and the whole amount may not be released one instalment unless deemed absolutely necessary keeping in view of state of preparedness of the implementing agency in utilizing the funds. The idling of funds in the account of NWDA/State/agency should be avoided. The monitoring mechanism should report the utilization of funds on a regular basis.
  - (d) State shall submit quarterly mapping of account for such release and expenditure by project authority.
6. **Mission Director has approved that the amount sanctioned vide this order may be drawn by NWDA from NABARD under LTIF and released to the state government for this project. This is further subject to the approval of Ministry of Finance for raising of EBR during the current year.**
7. **The State Government must ensure that the funds are released to the project immediately as soon as they are received by the State.**
8. This issues in consultation with Integrated Finance Division, D/o WR, RD&GR vide their Dy. No. 08/IFD/2020-21 dated 15.04.2020.

 29.4.20

(Ashwini Kumar Shukla )  
 Sr. Joint Commissioner (SPR-II)  
 Email: [sjcpr-mowr@nic.in](mailto:sjcpr-mowr@nic.in)  
[dspr-mowr@nic.in](mailto:dspr-mowr@nic.in)  
 Ph: 011-23710131

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4. PPS to JS&FA, D/o WR, RD&GR, New Delhi
5. Commissioner (CAD), D/o WR, RD&GR, New Delhi
6. Chief Engineer (PMO), CWC, Sewa Bhawan, New Delhi
7. Chief Engineer (UGBO), CWC, Lucknow .

