URGENT

No. 14/1/2017-Estt.V 2661 Central Water Commission Establishment V Section

> R. No. 329(S), Sewa Bhawan R. K. Puram, New Delhi – 66. Dt. 26.12.2017

To

Chief Engineers, All Field Offices, Central Water Commission.

Sub. Review of Mechanism to ensure probity among Govt. Servants under FR 56(j)

Sir,

I am directed to say that periodic review of performance of Government Servants with a view to ascertain whether the Government servant should be retained in service or retired from service in the public interest. Provisions in this regard are contained in FR 56(j), FR 56 (I) and Rule 48 (1) (b) of CCS (Pension) Rules, 1972.

For the above review certain information in respect of all Group 'B' Officers/Officials (AD-IIs/SDEs) are required to be collected and placed before the screening committee to take a considered view in this regard.

A copy of prescribed proforma for above purpose is enclosed. It is requested that separate report in the prescribed proforma for each of the group 'B' Officers/officials (AD-IIs/SDEs) including Superintendent Engineers under you may be compiled and the same may kindly be sent to this Office by 8th January, 2018.

This may be given "TOP PRIORITY"

Encl.: As above.

(R.N Bharti)
UNDER SECRETARY
BPL: 01129583327

Copy to SMD Dte. CWC, with request to upload the letter on CWC website.

Debata 23 12 13

SMP. A. 1/Sec. /AR. 1/DIE.

2/E-V/YIS/Return Fldr/Monthly Rtrn/56j(L)

eme of the officer to be reviewed (S/Sh./Smt./Ms)	Designation	Date of Birth	Age as on ———————————————————————————————————	Date since holding the post in the current designation	Leave availed during the past five years (from the service book) as on 31-12- 2016	State of health and whether it has a bearing on discharge of duties and if yes. Please elaborate	Whether services of are considere d useful to the Government+	Whether the officers is considered competent and effective and fit to continue to hold post occupied	Is there any reason to doubt the integrity viz. complaints of suspicious transaction in property, corruption, informal leedback etc. Please specify	Penalti es if any impose d on the officers during the entier career	APAR grading in the entire career. If there is any adverse entries please specify	Overall conductor of the officers
2	3	4	5	6	7	8	9	10	11 11 11 11 11 11 11 11 11 11 11 11 11	12	13 •	1.4

No.25013/4/2013-Esti (A)

Government of India -

Ministry of Personnel, Public Grievances and Pensions Department of Personnel and Training

> North Block, New Delhi-110 001 Dated:21st March, 2014

Office Memorandum

Subject : Strengthening of administration - Periodical review under FR 56 / Rule 48 of CCS(Pension) Rules

Instructions exist on the need for periodical review of performance of Government servants with a view to ascertain whether the Government servant should be retained in service or retired from service in the public interest. Provisions in this regard are contained in FR 56 (j), FR 56 (l) and Rule 48 (1) (b) of CCS(Pension) Rules, 1972. J

As per these instructions the cases of Government servant covered by FR 56(j), 56(l) or Rule 48(1) (b) of CCS (Pension) Rules, 1972 should be reviewed six months before he / she attains the age of 50/55 years, in cases covered by FR 56(j) and on completion of 30 years of qualifying service under FR 56(I) / Rule 48 of CCS(Pension) Rules, 1972 as per the following time table:-

SI. No.	Quarter in which review is to be made	Cases of employees who will be attaining the age of 50/55 years or will be completing 30 years of service or 30 years of service
e energy	to the first per the profit of the second the	qualifying for pension, as the case may be, in the quarter.
1,	January to March	July to September of the same year
2.	April to June	October to December of the same year
3.	July to September	January to March of the next year
4.	October to December	April to June of the next year

- The procedure as prescribed from time to time has been consolidated and enclosed as Appendix to this O.M.
- All Ministries / Departments are requested to follow these instructions and periodically review the cases of Govt. servants as required under FR 56(j)/FR56(l)/Rule 48(1)(b) of CCS (Pension) Rules, 1972.

(B.Bandyopadhyay) Under Secretary to the Government of India Tel.No.23040341

All Ministries / Departments Government of India as per standard list.



Subject : Periodical review under FR 56 (j)

The appropriate authority has the absolute right to retire, if it is necessary to do so in public interest, a Government servant under FR 56(j), FR 56(l) or Rule 48 (1) (b) of CCS (Pension) Rules, 1972 as the case may be. The guidelines in this regard have been issued from time to time under the marginally noted office Memoranda which are available in this Ministry's website:www.persmin.nic.in The procedure has been summarized below:-THE WATER TO SHOT

	FR 56		Pension Rule 48(1)(b) of
			CCS (Pension) Rules, 1972
Category	FR 56 (j) Group 'A & B' officer who entered serv		All Government servants covered by CCS (Pension) Rules.
	35 ears of age ar attained 50 years	id have	1972 who have completed 30 years of
	Other cases: Attained 55 years of FR56(I)	age	qualifying service.
	A Govt. Servant in Group 'C' post who governed by any Pe Rules, can also be r	nsion etired	*
	after he has comple 30 years service.	ted	
Notice Period	3 months or 3 mo allowances in lieu* thereof	nths pay	Three months or Three months pa and allowances
			in lieu

MHA, DPAR O.M.No.33/13/61-Estt (A) dated 23.6.1969

MHA DEAR O.M.No.33/11/69-Estt (A) dated 23.10.1970

MHA, DPAR O.M.No.25013/5/76-Estt (A) dated 11.10.1976

MHA, DPAR O.M.No.25013/13/77-Estt (A) dated 8th November, 1977.

MHA DPAR O.M.No.25013/14/77-Estt (A) dated 5th January, 1978.

MHA, DPAR O.M.No.15013/9/80-Estr (A) dated 22.6.1982

DOPT O.M.No.25013/30/85-Estt (A) dated 7th August, 1985.

DoPT : O.M.No.25013/38/85-Estt (A) dated 7th March, 1986.

DoPT O.M.No.25013/15/86-Estt (A) dated 27th June, 1986.



2. The cases of Government servant covered by FR 56(j), 56(l) or Rule 48(1) (b) of CCS (Pension) Rules, 1972 should be reviewed six months before he / she attains the age of 50/55 years, in cases covered by FR 56(j) and on completion of 30 years of qualifying service under FR 56(l) Rule 48 of CCS(Pension) Rules, 1972. Time Schedule for review is as under:-

SI. No.	Quarter in which review is to be made	Cases of employee who will be attaining the age of 50/55 years or
		will be completing 30 years of service or 30 years of service qualifying for pension, as the case may be, in the quarter indicated below to be reviewed
1.	January to March	July to September of the same year
2.	April to June	October to December of the same year
3.	July to September	January to March of the next year
4.	October to December	April to June of the next year

A register of employees who are due to attain the age of 50/55 years or complete 30 years of service to be maintained. The register should be scrutinized at the beginning of every quarter by a senior officer in the Ministry / Department and the review undertaken according to the above schedule.

- 3. It may be noted that Compulsory Retirement as a penalty under CCS (CCA) Rules, 1965 is distinct from the above provisions.
- 4. In order to ensure that the powers vested in the appropriate authority are exercised fairly and impartially and not arbitrarily, following procedures and guidelines have been prescribed for reviewing the cases of government employees covered under the aforesaid rules:
 - The cases of Government servants covered by FR 56 (j) or FR 56 (l) or Rule 48(1)(b) of the CCS (Pension) Rules should be reviewed six months before they attain the age of 50/55 years or complete 30 years service / 30 years of qualifying service, whichever occurs earlier.
 - Committees shall be constituted in each Ministry / Department / Office, to which all such cases shall be referred for recommendation as to whether the Officer concerned should be retained in service or retired from service in the public interest.
- 5. The criteria to be followed by the Committee in making their recommendations would be as follows:-
 - (a) Government employees whose integrity is doubtful, will be retired.
 - (b) Government employees who are found to be ineffective will also be retired. The basic consideration in identifying such employee should be the fitness/competence of the employee to continue in the post which he/she is holding.

Contd...

(c) While the entire service record of an Officer should be considered at the time of review, no employee should ordinarily be retired on grounds of ineffectiveness if his service during the preceding 5 years or where he has been promoted to a higher post during that 5 year period, his service in the highest post, in the h

Consideration is ordinarily to be confined to the preceding 5 years or to the period in the higher post, in case of promotion within the period of 5 years, only when retirement is sought to be made on grounds of ineffectiveness. There is no such stipulation, however where the employee is to be retired on grounds of doubtful integrity.

(d) No employee should ordinarily be retired on ground of ineffectiveness, if, in any event, he would be retiring on superannuation within a period of one year from the date of consideration of his case

Ordinarily no employee should be retired on grounds of ineffectiveness if he is retiring on superannuation within a period of one year from the date of consideration of the case. It is clarified that in a case where there is a sudden and steep fall in the competence, efficiency or effectiveness of an officer, it would be open to review his case for premature retirement..

The above instruction is relevant only when an employee is proposed to be retired on the ground of ineffectiveness, but not on the ground of doubtful integrity. The damage to public interest could be marginal if an old employee, in the last year of service, is found ineffective; but the damage may be incalculable if he is found corrupt and demands or obtains illegal gratification during the said period for the tasks he is duty bound to perform.

6. The Supreme Court had not only upheld the validity of FR 56(j) but also held that no show-cause notice need be issued to any Government servant before a notice of retirement is issued to him under the aforesaid provisions. The appropriate authority defined in Note 1 below FR 56 should bonafide form an opinion that is in the public interest to retire the Government servant in exercise of the powers conferred by that provision and this decision should not be an arbitrary decision or should not be based on collateral grounds. Accordingly, in every case where it is proposed to retire a Government servant in exercise of the powers conferred by the said rule, the appropriate authority should record in the file its opinion that it is necessary to retire the Government servant in pursuance of the aforesaid rule in the public interest. The order to be served of the Government servant would of course be on the form prescribed for the purpose.

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7. Detailed instructions on the procedure, criteria for assessment, issue of notice etc. are contained in the Office Memoranda indicated at page 1 of this Appendix and may be referred to.