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Government of India
Ministry of Jal Shakti
Dept. of Water Resources, RD & GR

631, Shram Shakti Bhawan, New Delhi

Date: 07.02.2022

OFFICE MEMORANDUM

Subject: Guidelines for Pradhan Mantri Krishi Sinchayee Yojana - Accelerated Irrigation Benefit Programme (PMKSY-AIBP) and National Projects.

The undersigned is directed to enclose the Guidelines for Pradhan Mantri Krishi Sinchayee Yojana - Accelerated Irrigation Benefit Programme (PMKSY-AIBP) and National Projects.

The guidelines are approved by the Hon'ble Minister, Jal Shakti.

Encl: as above

 07.02.2022
(Amit Kumar Jha)
Sr. Joint Commissioner (SPR-II)

To:

1. Chairman, Central Water Commission, New Delhi
2. Principal Secretary / Secretaries of the Irrigation / Water Resources Departments of various States as per List - I
3. Chairman, NABARD, Mumbai
4. Chief Engineer (PMO), Central Water Commission, New Delhi.
5. Chief Engineers of the field offices of CWC as per List - II

Copy to:

1. PS to Hon'ble Minister (WR, RD & GR)
2. PS to Hon'ble Minister of State (WR, RD & GR)
3. PS to Secretary (WR, RD & GR)
4. PS to Additional Secretary (WR, RD & GR)

LIST-I

Principal Secretaries (WR/ Irrigation)			
1	Principal Secretary (I&CAD), Government of Andhra Pradesh, Hyderabad-500004	2	Principal Secretary, Water Resources Department, Government of Maharashtra, Mumbai-400032
3	Secretary (Irrigation Deptt.), Government of Assam, Chandmari,Guwahati-781003	4	Principal Secretary, Department of Water Resources, Government of Odisha Bhubaneshwar-751001.
5	Principal Secretary (Water Resources) Deptt. of Water Resources, Government of Chhattisgarh, Raipur-492001.	6	Principal Secretary (Irrigation), Government of Rajasthan, Jaipur-302005.
7	Secretary, Narmada & Water Resources Deptt.,Government of Gujarat, Gandhinagar-383010.	8	Principal Secretary (FAC) (Projects Wing) Government of Telangana, Hydrabad.
9	Principal Secretary, Irrigation Department, Government of Jammu & Kashmir, Secretariat, Jammu.	10	Principal Secretary, Water Resources Deptt., Government of Bihar, Patna -840001.
11	Secretary (WR) Irrigation Department, Government of Jharkhand,Sachivalaya, Ranchi.	12	Principal Secretary, Irrigation & Water Resources Department Government of Uttra Pradesh Lucknow Uttar Pradesh
13	Additional Chief Secretary, Water Resources Department, Government of Karnataka, 3rd Floor, Vikas Soudha, Ambedkar Veedhi, Bengaluru-560001.	14	Secretary (Irrigation), Government of Goa, Goa Secretariat, Panaji-403001.
15	Principal Secretary, Water Resources Deptt., Government of Kerala, Secretariat, Thiruvananthapuram-695001	16	Commissioner-cum-Secretary, Irrigation & Flood Control Deptt., Government of Manipur, Imphal-795001
17	Principal Secretary, Water Resources Deptt., Government of Madhya Pradesh, Sachivalaya, Vallabh Bhawan, Bhopal-462004.	18	Principal Secretary, Department of Water Resources, Government of Punjab,
19	Secretary (PHE & Flood Control) UT Secretariat, Leh-Ladakh	20	Principal Secretary, Irri. & Waterways Deptt. Jalsampad Bhavan, Western Block 1st Floor, Block DF, Sector - I, Salt Lake City Kolkata 700 091
21	Principal Secreatry, Irrigation and Water resources department, Government of Haryana	22	Secretary, Uttarakhand Irrigation Department, Government of Uttarakhand

23	Additional Chief Secretary, Public Works department, Government of Tamil Nadu	24	Secretary, Department of water resources, Government of Arunachal Pradesh
25	PCE-cum-Secretary, Water resources department, Government of Sikkim	26	Secretary, Department of Irrigation & Flood Control, Civil Secretariat Complex Nagaland, Kohima
27	Secretary, Irrigation and Water resources department, Government of Mizoram	27	Principal Secretary, Water Resources Department, Government of Meghalaya
29	Secretary, Public Works Department, Government of Tripura, Secretariat Building, New Capital Complex, Agartal	30	Principal Secretary, Irrigation & Public Health Department, Govt. of Himachal Pradesh, HP Secretariat, Shimla-171002
31	Secy.(Irr.)& CEO, Delhi Jal Board, Government of NCT of Delhi	32	Secy.(PWD), Chief Secretariat, Government of Puducherry
33	Administrator, Government of Lakshadweep	34	Administrator, Government of Daman & Diu
35	Adviser to the Administrator, Government of Chandigarh	36	Secretary (WR&PWD), Government of Andaman & Nicobars island

LIST-II

FIELD CHIEF ENGINEERS, CWC			
1	The Chief Engineer (B&OBO), Brahmaputra & Other Basin, CWC, Rebekka Ville, Lower Lachumiere, Temple Road, Near Barik point, Shillong – 793 001 (Meghalaya)	2	The Chief Engineer (LGBO), Lower Ganga Basin Organisation, CWC, 177-B, Srikrishna Puri, Patna- 800 001. (Bihar)
3	The Chief Engineer, Monitoring – South, CWC, JALASOUDHA, Near HMT Precision Machinery Division, H.M.T. Post, Bangalore-560013	4	The Chief Engineer (MTBO), CWC, Narmada Tapi Bhawan, 1st Floor, Sector-10A, Gandhinagar-382010 (Gujarat)
5	The Chief Engineer (CSRO), Cauvery & Southern Rivers, CWC, Sangamam Gandhimanagar Peelamedu, Coimbatore – 641004 (Tamilnadu)	6	The Chief Engineer (Mon-C) Monitoring – Central, CWC, CGO Complex, Block ‘C’, 3 rd Floor, Seminary Hills, Nagpur – 440 006
7	The Chief Engineer (UGBO), Upper Ganga Basin, CWC, Jahnavi Sadan, 21/496, Indira Nagar, Lucknow-226016 (U.P.)	8	The Chief Engineer (KGBO), Krishna & Godavari Bhawan, CWC, H.No. 11-4-648, AC Ground, Hyderabad – 500001 (AP)
9	The Chief Engineer (YBO), Yamuna Basin, CWC, Kalinadi Bhawan, B-5, Tara Crescent Road, Qutub Institutional Area, New Delhi – 110 016	10	The Chief Engineer (MERO), Mahanadi & Eastern Rivers, CWC, Plot No.13&14, Mahanadi Bhawan, Bhai Nagar, Bhubaneswar – 751007
11	The Chief Engineer (IBO), Indus Basin, CWC, Block-IV, 6 th Floor, Kendriya Sadan, Sector- 9A, Chandigarh – 160017	12	The Chief Engineer (NBO), Narmada Basin, Block-3, G-Floor, Prayavas Bhawan, Mother Teresa Marg, Arera Hills, Bhopal-462 011
13	The Chief Engineer (BBO), Brahmaputra Basin, CWC, Behind Adabari Bus Stand, Guwahati-14, Assam	14	The Chief Engineer (TBO), 1 st Floor, GE-2, Sector-III, Salt Lake, Kolkata-700106

Government of India
Ministry of Jal Shakti
Department of Water Resources, River Development and
Ganga Rejuvenation

GUIDELINES FOR

PRADHAN MANTRI KRISHI SINCHAYEE

YOJANA -ACCELERATED IRRIGATION

BENEFIT PROGRAMME (PMKSY-AIBP)

AND NATIONAL PROJECTS

FEBRUARY 2022

**GUIDELINES FOR
PMKSY-AIBP AND NATIONAL PROJECTS
TABLE OF CONTENTS**

Sl. No.	Topic	Page No.
1.0	Eligibility criteria for inclusion of projects	1 - 4
2.0	Procedure for inclusion of projects	4 - 5
3.0	Terms of financial assistance	5 - 9
4.0	Mode of disbursement	9 - 11
5.0	Important points to be taken into consideration by the States while submitting the proposal for central assistance	11 - 12
6.0	Work plan and time schedule for completion of projects	12 - 13
7.0	Monitoring of projects	13 - 15
	Annexures	
I	List of National Projects	16 - 17
II	Proforma for submission of proposal by State Government for inclusion of a project under PMSKY-AIBP/ National Projects	18 - 21
III	Constitution of High Powered Steering Committee for implementation of National Projects	22
IV	Procedure for release of funds for Centrally Sponsored Schemes and monitoring utilization of funds released	23 - 26
V	Format for Utilization Certificate (GFR 12C)	27
VI	Proforma regarding irrigation development of on-going and completed major and medium irrigation projects in India	28 - 29
VII	Format of Memorandum of Understanding to be signed between Government of India and the State Government	30 - 32
VIII	Composition of Executive Committee of PMKSY	33

**GUIDELINES FOR
PRADHAN MANTRI KRISHI SINCHAYEE YOJANA - ACCELERATED IRRIGATION
BENEFITS PROGRAMME (PMKSY-AIBP) AND NATIONAL PROJECTS**

FEBRUARY 2022

1.0 ELIGIBILITY CRITERIA FOR INCLUSION OF PROJECTS

1.1 Major and Medium Projects under PMKSY-AIBP

Major and medium irrigation projects complying with the conditions mentioned below, are eligible for inclusion under PMKSY-AIBP:

(A) Having investment clearance by Department of Water Resources, River Development and Ganga Rejuvenation (DoWR, RD &GR); and

(B) In advanced stage of construction having at least 50% overall physical progress (subject to 1.1.2 below) and can be completed within the approved completion schedule or in next 5 years, whichever is earlier; and

(C) Project should not be receiving financial assistance for the work components proposed to be included under AIBP, under any other scheme of Government of India, or through external assistance.

1.1.1 Mere eligibility of a project does not entitle its inclusion under PMKSY-AIBP, and the same is also dependent upon availability of funds, Government priorities etc. at that time.

1.1.2 The advanced stage criteria referred at para 1.1 (B) above is not applicable if more than 50% of command area of the project is benefitting areas covered under Drought Prone Area Programme (DPAP), Desert Development Programme (DDP), Tribal area, Flood prone area, left wing extremism affected area, Koraput, Balangir and Kalahandi (KBK) regions of Odisha, Vidarbha & Marathwada regions of Maharashtra and Bundelkhand region of Madhya Pradesh & Uttar Pradesh, or any project in State having irrigation (net irrigated area as percentage of net sown area) below national average.

1.1.3 The criteria of overall 50% of physical progress given at 1.1 (B) above shall not be applicable to Extension, Renovation and Modernization (ERM) projects also.

1.1.4 Construction planning of the projects may be such that the AIBP works for creation of irrigation potential and the Command Area Development and Water Management (CAD&WM) works for utilization of irrigation potential, are taken up simultaneously in case of new projects to be included under AIBP. However, in case of projects already included under the 99 prioritized projects, construction planning for CD&WM may be as below:

- i. If CAD&WM works are already taken up, then construction planning for CAD&WM works to be reviewed and modified by project authorities such that CAD&WM works are completed within six months to maximum one year of the completion of works under AIBP.
- ii. If CAD&WM works are not started, then, taking up the CAD&WM works may be taken up with the target to complete pari-passu (with a maximum of one-year relaxation) so as to complete with the works under AIBP.

1.2 Extension, Renovation and Modernization (ERM) Projects under PMKSY-AIBP

1.2.1 Major/Medium ERM projects fulfilling the following criteria can be considered for inclusion under AIBP:

- (A) Having investment clearance by DoWR, RD & GR; and
- (B) Projects whose ERM is proposed, should have been commissioned/ last extended/ renovated/ modernized at least 10 years earlier; and
- (C) The proposed ERM should result into increased area under irrigation, in addition to stabilization / restoration of irrigated area.
- (D) Project should not be receiving financial assistance for the work components proposed to be included under AIBP, under any other scheme of Government of India, or through external assistance.

1.2.2 CAD&WM planning requirements mentioned at para1.1.4above shall remain applicable for ERM projects also.

1.2.3 Mere eligibility of an ERM project does not entitle its inclusion under PMKSY-

AIBP, and the same is also dependent upon availability of funds, Government priorities etc. at that time.

1.3 National Projects

Government of India had approved a scheme of National Projects (NPs) to be implemented during XI Plan with a view to expedite completion of identified NPs for the benefit of the people. The then Ministry of Water Resources had issued guidelines for implementation of NP scheme in February, 2009, which were later modified in September, 2012.

A total of 16 projects have been declared as NPs so far, and were to be taken up for execution after the concerned States obtain techno-economic clearance, other statutory clearances and investment clearance. These projects are at various stages of their implementation. List of the projects included under NP scheme of DoWR, RD&GR, is placed as **Annexure-I**.

1.3.1 Eligibility Criteria for National Projects

Eligibility criteria for inclusion of a project under NP scheme of DoWR, RD&GR, is as under:

- i. International projects, where usage of water in India is required by a treaty or where planning and early completion of the project is necessary in the interest of the country.
or
- ii. Inter-State projects which are dragging on due to non-resolution of Inter-State issues relating to sharing of costs, rehabilitation, aspects of power production etc., including river interlinking projects.
or
- iii. Intra-State projects with additional irrigation potential of more than two lakh hectare and with no dispute regarding sharing of water and where hydrology is established.
or
- iv. Extension, Renovation and Modernization (ERM) projects envisaging extension/restoration of irrigation potential of 2 lakh hectare, subject to:
 - a. CAD&WM works shall be ensured in the entire command area of the ERM project.
 - b. CAD&WM works shall be taken up simultaneously with the ERM works so as to facilitate achievement of the bench mark efficiency for water use.
 - c. The management of command area system by water users association (WUAs) after the ERM works will be necessary.

- d. Independent evaluation of the project will be carried out after project implementation and the project should achieve the benchmark water use efficiency in practice, as prescribed by Central Water Commission.

1.3.2 Mere eligibility of a project does not entitle its inclusion under the scheme of National Projects, and the same is also dependent upon approval of Advisory Committee on Irrigation, Flood Control and Multipurpose projects of DoWR, RD&GR as per laid down procedure, investment clearance, availability of funds, Government priorities etc. at that time.

2.0 PROCEDURE FOR INCLUSION OF PROJECTS

2.1 Inclusion under AIBP

2.1.1 New projects could be considered for inclusion under AIBP on receipt of proposals from the State Governments as per the format placed at **Annexure-II**. The proposals should be submitted through the Regional Office of Central Water Commission (CWC), with a copy to CWC (HQ) and DoWR, RD&GR.

2.1.2 On receipt of a proposal from the State Government for inclusion of a project under AIBP, CWC, having satisfied with the project meeting eligibility criteria, may send a team of officers to the project site with a view to make assessment of the present status of the project and to firm up the plans for its completion in a specified time frame. Thereafter, CWC may recommend the proposal for inclusion under AIBP, to DoWR, RD&GR.

2.1.3 Inclusion of MMI / ERM projects under AIBP shall be with the approval by Hon'ble Minister, MoJS based on recommendations of a Screening Committee headed by Secretary, DoWR, RD & GR, having representative of NITI Aayog, Commissioner (SPR) and Joint Secretary & Financial Advisor of DoWR, RD & GR and representatives of Central Water Commission.

2.2 Inclusion under National Projects

In case of NPs, in addition to the procedure mentioned at Para 2.1 above, the below mentioned steps are also required to be carried out.

2.2.1 DoWR, RD&GR may also, at its level, send a team of officers to the project site with a view to make assessment of the present status of the project and to firm up the plans for its completion in a specified time frame.

2.2.2 Proposals for inclusion under NP scheme of DoWR, RD&GR are also to be considered by the High Powered Steering Committee (HPSC) headed by Secretary, DoWR, RD & GR, constitution and mandate of which is placed at **Annexure-III**.

2.2.3 Subsequent to the recommendations of Hon'ble Minister (Jal Shakti), inclusion and funding under NP scheme of DoWR, RD&GR could be considered with the approval of the competent authority in Government of India.

3.0 TERMS OF FINANCIAL ASSISTANCE

3.1 Major/Medium Irrigation and ERM projects under PMKSY-AIBP

3.1.1 Funding under the scheme is limited to only the balance cost of works components of irrigation and drinking water at the time of inclusion of the project under the scheme. Expenditure already incurred on the project and liabilities on the project for the works already executed is not covered. Accordingly, the funding shall be restricted to balance project cost or the cost of the project proportionate to the balance works components, whichever is lower.

3.1.2 For the purpose of central funding, the cost for drinking water component shall not include the works related to transmission and distribution network required exclusively for drinking water component.

3.1.3 Latest cost of the project, as accepted by the Advisory Committee on Irrigation, Flood Control and Multipurpose Projects (Advisory Committee) of DoWR, RD &GR, shall be considered at the time of inclusion of any new project under AIBP. Quantum of eligible central assistance (CA) shall be worked out based on this, and frozen.

3.1.3 The States shall be at liberty regarding source of funding prior to inclusion under AIBP, funding of State share during implementation under AIBP, and funding source after the project is declared as completed under AIBP.

3.1.4 Central assistance under AIBP, for new major and medium irrigation projects, and also

the ERM projects included under AIBP after March, 2021, shall be as under:

Category	Central : State
A. Projects in 8 North-Eastern, 2 Himalayan States (Himachal Pradesh, Uttarakhand) and Union Territories of Jammu & Kashmir and Ladakh	90:10
B. Projects benefitting special area in other general category States, i.e., command under Drought Prone Area Programme (DPAP) & Desert Development Programme (DDP), Tribal area, Flood prone area, Left Wing Extremist area, Bundelkhand, Vidarbha, Marathwada and KBK (Odisha)	60:40
C. Projects in general category States benefitting areas other than at (B) above	25:75

Note:

- (i) Funding pattern for the projects prioritized for funding during 2016-17 (scheme of 99 prioritized projects) shall continue to remain the same as per the balance cost and CA admissibility considered at the time of inclusion of the projects under the 99 prioritized projects.
- (ii) For projects benefitting areas in more than one of the above mentioned categories, the CA shall be provided on pro rata basis in proportion to the command falling in each category.
- (iii) The funding pattern indicated above may change as approved by Government of India from time to time.

3.1.5 For projects to be included under the present guidelines (i.e., financial year 2021-22 onwards), central assistance based on the latest approved cost as accepted by the Advisory Committee of DoWR, RD&GR shall be frozen at the time of inclusion of the project. No subsequent enhancement of CA would be allowed thereafter for funding under PMKSY-AIBP for projects included in financial year 2021-26 onwards.

3.1.6 Cost escalation of maximum 20% may be allowed on the approved cost (at the time of inclusion or revised cost as per para 3.1.5 above) based upon justification

provided by the State Government. No separate appraisal by CWC/ investment clearance will be required for escalation in cost up to 20% over approved cost, provided there is no change in the scope of work. However, as mentioned at 3.1.5 above, this escalation would have no impact on the central assistance which is frozen at the time of inclusion under AIBP.

3.1.7 For escalation of cost beyond 20% of approved cost for these projects, the concerned State Government(s) shall obtain revised investment clearance or fast track proforma clearance (FTPC). However, such increase in cost over and above 20% of the approved cost at the time of inclusion shall be fully borne by the concerned State(s) and no additional CA shall be provided for any such increase in the cost.

3.1.8 All establishment and administrative costs for projects included under AIBP shall be entirely borne by the State Government concerned.

3.1.9 The State Government shall provide annual audited statement of expenditure incurred during the implementation period of the scheme, with a lag of up to one financial year. Thus the annual audited statement of expenditure for 2021-22 is mandatorily required to be submitted with the release proposal for the financial year 2023-24.

3.1.10 In case of projects envisaging dam fully located in NE Region or in hilly States, funds would be provided for them as per 90(C) : 10(S) funding pattern irrespective of fact that water so stored would be picked up by various States from downstream systems for utilization of their share.

3.1.10 States can continue to borrow for state share during 2021-26 under long term irrigation fund (LTIF) through NABARD, only in respect of the on-going 60 prioritised AIBP projects and 85 CAD&WM projects being funded since 2016. Interest subvention shall continue to be above 6% of interest rate for 2021-22, and thereafter from 2022-23 onwards, interest subvention shall be capped to maximum 2%. A tripartite agreement will be signed among NABARD, State Governments and DoWR, RD & GR in this regard. However, no such facility is available to the projects included during 2021-22 onwards.

3.2 National Projects

3.2.1 Category wise funding pattern for central assistance (CA) in the form of grant will be as per the table below.

Sl. No.	Category	Funding Pattern
1.	Projects in 8 North-Eastern, 2 Himalayan States (Himachal Pradesh and Uttarakhand) and Union Territories of Jammu & Kashmir and Ladakh	90 (Central) : 10 (State)
2.	Projects in other States	60 (Central) : 40 (State)

Note-

- Funding pattern indicated above may change as decided by the Government of India from time to time.
- Central assistance for Goshikhurd, Saryu Nahar Pariyojna, Shahpur Kandi and Polavaram projects shall continue as per the present funding pattern arrangements, unless modified by Government of India. The present guidelines shall not influence their funding arrangements.
- Government of India may, at its discretion, modify the funding pattern, to the extent deemed fit for individual project(s) to be included under NP scheme.

3.2.2 Funding under NP scheme would be only for the balance cost of works part of irrigation and drinking water components of the project cost including compensatory afforestation, NPV of forest land, etc., as may be stipulated by MoEF&CC and other statutory agencies, at the time of inclusion of the project. Expenditure already incurred on the project and liabilities on the project for the works already executed is not covered. Accordingly, the funding shall be restricted to balance project cost or the cost of the project proportionate to the balance works components, whichever is lower.

3.2.3 The condition of 50% physical progress as applicable for AIBP projects shall not be applicable for National Projects.

3.2.4 For the purpose of central funding, the cost for drinking water component shall not include the works related to transmission and distribution network required exclusively for drinking water component.

3.2.5 All establishment and administrative costs on a National Project shall be borne entirely by the State Government.

3.2.6 Cost escalation up to maximum 20% of the latest approved cost may be allowed upon justification provided by the State Government, without separate appraisal by CWC/ investment clearance. However, for increase of cost beyond 20%, the State Government would be required to obtain fresh cost appraisal by CWC followed by revised investment clearance, or fast track proforma clearance (FTPC), as per the prescribed procedure.

3.2.7 State Government shall provide annual audited statement of expenditure incurred during the implementation period of the scheme, with a lag of up to one financial year. Thus the annual audited statement of expenditure for 2021-22 is mandatorily required to be submitted with the release proposal for the financial year 2023-24.

4.0 MODE OF DISBURSEMENT

4.1 Disbursement shall be made as per the procedure of release of funds under centrally sponsored schemes (CSS) issued by Ministry of Finance time to time.

4.2 At present, the disbursement under CSS is being governed by the Single Nodal Agency mechanism, detailed guidelines for which issued by Ministry of Finance, are placed at **Annexure - IV**. Some of the key aspects of the SNA provisions are brought out below for ready reference:

- a. Funds will be released to the States strictly on the basis of balance funds of the centrally sponsored scheme (CSS) – Central and State share available in the State treasury and bank account of Single Nodal Agency (SNA) as per PFMS.

- b. States will maintain separate budget lines for Central and State share under the scheme in their Detailed Demand for Grants (DDG), and make necessary provisions of the State share in the State's budget. While releasing funds to SNA, State's Integrated Finance Management Information should provide these budget heads and the same should be captured in PFMS through treasury integration.
- c. In the beginning of a financial year, this Department will release not more than 25% of the amount earmarked for a State for a CSS for the financial year. Additional central share (not more than 25% at a time) will be released upon transfer of the stipulated State share to the SNA account and utilization of at least 75% of the funds released earlier (both Central and State share) and compliance of the conditions of previous sanctions.

4.3 The subject matter division (SMD) in the Department may take approvals for the entire release proposed in a year, or a part thereof, in one go. It can then take up actual releases in tranches, 25% of the yearly target at a time, based on the utilization of at least 75% of funds (both Central and State share) released earlier, as per review of balance in the concerned SNA account.

4.4 However, the terminal installment of central assistance shall be released while the project is getting completed on reimbursement basis after the State has completed the project and submitted the completion certificate.

4.5 Utilization certificate (UC) shall be issued by the Chief Engineer of the project and counter signed by Secretary (Water Resources / Irrigation) / Secretary (Finance) of the State Government as per format of GFR-12C (**Annexure V**). Further, the State Government shall provide project-wise details of funds available and utilized from the SNA account on quarterly basis.

4.6 The release proposal to be submitted by the State Government should include physical & financial progress up to the previous financial year, targets for the remaining financial years, district benefitted, number of people benefitted and number of SC/ST people benefitted from individual project/ cluster. Employment generation due to works on this project/ cluster during the last financial year may also be mentioned in the progress.

4.7 For expenditure to be made on the projects beginning financial year 2021-22, if the State has already utilized its State share component before release of CA, the state not need make budgetary provisions for the state share. Expenditure on the project/scheme would be

considered on cumulative basis for providing central assistance (CA). Reimbursement of CA would be permitted for expenditure incurred during the previous year(s), in case State could not avail CA for the same during that year(s), or due to any other reason. However, this clause is operable only for expenditure made in the current financial year onwards, and not applicable for the expenditures made till March, 2021.

4.8 Disbursement shall be in accordance with the changes made by Government of India for centrally sponsored schemes, from time to time.

4.9 Along with the CA proposal, the States are also required to provide information regarding irrigation development in the State (at least once a year), as per **Annexure VI**.

4.10 The central assistance would be released in the following manner:

- a. For release of funds subsequent to first installment, expenditure and CA release would be considered on cumulative basis.
- b. Reimbursement of CA would be permitted for expenditure incurred during the year, or previous year(s) in case State could not avail CA for the same during that year(s) due to inadequate funds or any other reason. Further, as per Rule 230(10) of GFR 2017, the central financial assistance/grant as reimbursement may be released in one installment provided complete evidence of achieving the specified objectives and expenditure incurred, supported by audited statement of expenditure, is received and in such cases utilization certificate is not required.
- c. CA would be released on recommendation of CWC, as per existing norms.

5.0 IMPORTANT POINTS TO BE TAKEN INTO CONSIDERATION BY THE STATES WHILE SUBMITTING THE PROPOSAL FOR CENTRAL ASSISTANCE

5.1 The proposals for release of CA from the State Governments are to be submitted to the corresponding field offices of CWC. The proposal is to be examined by CWC at regional office as well as HQ, before it is recommended to DoWR, RD&GR.

5.2 State Governments are required to enter into a MoU with the DoWR, RD & GR (**Annexure–VII**) for each individual project under the programme.

5.3 The Utilization Certificate (UC) shall be issued by the Chief Engineer of the project and countersigned by Secretary (Water Resources/Irrigation) of the State Government. The UC must contain physical achievement of irrigation potential and financial progress / expenditure on AIBP, vis-à-vis that mentioned in the MoU, on yearly basis.

5.4 The State Governments should make every effort to submit the proposal for release of next installment of CA in the first quarter of the financial year, along with budget certificate.

5.5 The quarterly physical target and progress certificate for works shall be issued by the Chief Engineer of the project and submitted to the field monitoring unit of CWC by the first week of the next quarter.

5.6 The State Government should make matching budget allocation and release for CAD&WM activities proportionate to the creation of potential under AIBP components for the projects prioritized for funding during 2016-17 (scheme of 99 prioritized projects).

5.7 The State Government shall provide annual audited statement of expenditure incurred during the implementation period of the scheme, with a lag of up to one financial year. Thus the annual audited statement of expenditure for 2021-22 is mandatorily required to be submitted with the release proposal for the financial year 2023-24.

5.8 State Government shall also provide information regarding irrigation development in the State as per **Annexure VI** along with the proposal for release of central assistance at least once a year.

5.9 A project may be considered as complete under PMKSY-AIBP on completion of 90% or more of AIBP works, on request of the State Government, if it is demonstrated that the project benefits have been achieved and/or there is a change in the physical circumstances in the project area.

6.0 WORK PLAN AND TIME SCHEDULE FOR COMPLETION OF PROJECTS

6.1 The State Government should consider incorporating provisions for strong incentives/disincentives for the contracts for execution of the projects, to facilitate timely completion of the project.

6.2 Land records in the command of the Projects should be updated, livelihood survey should be conducted and advance planning should be done along with dove-tailing the various R&D programmes so that the agricultural produce could be marketed through communication networks in mandis and nearby markets.

6.3 The job of soil testing and issue of soil health cards to the farmers of targeted command should be completed before the irrigation benefits starts.

6.4 Concurrent evaluation of the project by State Government is mandatory, at the end of each financial year during the period of funding.

6.5 The project authorities may make all efforts to speed up the progress of work by leveraging available technological advancements.

6.6 Further, it shall be the endeavor of the State Governments to ensure that in the command to be irrigated under AIBP or NP scheme, farmers, especially those in the hear reaches, do not go for water-intensive crops.

6.7 For dams being built/ renovated under the programme including those taken up under NP scheme, the State Governments shall ensure that all provisions of Dam Safety Act 2021 are followed.

6.8 Convergence with other Central Government/ State Government schemes may be dove-tailed, to the extent possible, for the projects being taken up under the programme including those taken up under NP scheme. However, the details of such convergence need to be spelt out explicitly in the proposal, to enable firming up the eligible central assistance accordingly. Further, the details in this regard may also be brought out in the MoU, release proposals and monitoring reports.

7.0 MONITORING OF PROJECTS

7.1 The projects under AIBP/NP scheme are to be monitored, as per following procedure:

7.1.1 Monitoring of the project by State Government:

- a. Physical and financial progress of the projects shall be regularly monitored by concerned State Government. Further, physical and financial progress data for a month shall be updated in the web-based MIS system of this Department on real time basis and in any case by 10th of every succeeding month. Quarterly monitoring report on physical and financial component wise (including CAD&WM component) progress may be submitted quarterly to CWC field office as well as HQ. At the end of each year and on completion of the project, paper print of satellite imagery clearly indicating the project components may be submitted to CWC HQ and field office.
- b. Project level and State level Monitoring Committee for environment safeguards mentioned by Ministry of Environment, Forest and Climate Change at the time of clearances, may be setup for the projects being funded under the AIBP/NP scheme.
- c. State Governments are to submit a quality assurance certificate regarding compliance to all mandatory quality checks prescribed for construction material as well as procedure, both in number and frequency, having been carried out, and all the results being within prescribed limits. It is also to certify that the quality of works constructed and under construction is of good quality, payments to contractors have been released based on quality work, and the mandatory inspections required by supervisory staff of all quality control labs are being carried out.
- d. For monitoring of the distribution network related works, a list of all the major structures, outlets to be covered in the year concerned and rail/ road crossings/ utility crossings, should be defined as targets and monitored for their achievement. Further, all such issues which are causing delay beyond reasonable time, may be brought to the notice of Commissioner, State Projects, DoWR, RD&GR, for taking them up appropriately with the Ministry/ Department concerned.
- e. Dedicated arrangements for land acquisition and rehabilitation & resettlement (R&R) for these projects shall be established by the State to avoid delay on account of these activities. Further, concurrent evaluation shall be carried out by State during the execution of the project, the expenditure for which is to be borne by the State Government.

7.1.2 Monitoring of the project at central level

- a. All major and medium irrigation projects where funds have been released in the

previous year are to be monitored twice in a year, by the concerned field office of CWC.

b. A Project Management Unit (PMU) under State Projects (SPR) Wing of DoWR, RD&GR shall be established, which shall keep record of all the documentation related to physical and financial progress of the project. Further, the PMU shall also keep a watch on the correctness of data being entered in the management information system (MIS) of all the projects under AIBP and NP scheme, and also for other schemes being implemented by SPR Wing. Further, the PMU shall also analyze the data and prepare the monthly fact sheet including progress made in each project on monthly basis.

c. Executive Committee for implementation of PMKSY, which was established in September, 2016 under Mission Director, PMKSY for operational convergence, co-ordination amongst activities of the other Ministries under PMKSY umbrella, and overall monitoring of progress of PMKSY, shall continue with its mandated role during 2021-26 also. The composition of the Executive Committee is placed at **Annexure-VIII**.

e. Third party evaluation of projects completed under AIBP/ NP scheme shall be carried out by DoWR, RD&GR on sample basis, to assess their impact vis-à-vis envisaged benefits.

f. A special cell shall be formed in CWC Head Quarter for monitoring of the bottlenecks in the projects. It shall also monitor grievances and complaints related to the implementation of projects being funded under AIBP/ NP scheme, and pursue the same with the State Government.

g. The special cell shall maintain the data regarding bottlenecks of the projects and coordinate with respective agencies to resolve them. In case a bottleneck remains unresolved for three consecutive months, the matter shall be escalated to DoWR, RD & GR. DoWR, RD & GR shall review the bottlenecks on monthly basis till the same is resolved.

h. Any grievance received by the special cell should ideally be addressed within one-month time. A monthly report on grievances pending for more than one month shall be submitted to DoWR, RD & GR.

* * * * *

ANNEXURE-I

LIST OF NATIONAL PROJECTS

Sl. No	Name of the National Project	Benefits: 1) Irrigation Potential (ha.) 2) Power (MW) 3) Storage (MCM)	Name of State
1	Gosikhurd Irrigation Project	1) 2.50 lakh ha 2) 3 MW 3) 1147.14 MCM	Maharashtra
2	Saryu Nahar Pariyojana	1) 14.04 lakh ha (NP Component:4.73 lakh ha) 2) NIL 3) Barrage	Uttar Pradesh
3	Polavaram Irrigation Project	1) 2.91 Lakh ha (CCA)- Irrigation Potential 4.36 Lakh ha 2) 960 MW 3) 663.59 MCM of water to Vizag city for drinking and industrial purpose and Diversion of 2264.80 MCM to Krishna basin	Andhra Pradesh
4	Shahpurkandi Dam Project	1) 0.37 lakh ha 2) 206 MW 3) 120.71 MCM	Punjab
5	Teesta Barrage Project	1) 9.23 lakh ha (NP component :5.27 lakh ha) 2) 1000 MW 3) Barrage	West Bengal
6	Lakhwar multipurpose Project	1) 33,780 ha 2) 300 MW 3) 587.84 MCM	Uttarakhand
7	Ujh Multipurpose Project	1) 0.76 lakh ha 2) 196 MW 3) 925 MCM	Jammu and Kashmir
8	Renukaji Dam Project	1) Drinking water 2) 40 MW 3) 498.33 MCM (Live)	Himachal Pradesh
9	Ken-Betwa Link Project	1) 9.04 lakh ha 2) 78 MW 3) 3495 MCM (Live)	Madhya Pradesh & UP
10	Kulsi Dam Project	1) 39500 ha 2) 55 MW 3) 525.64 MCM	Assam
11	Noa Dihing Dam Project	1) 7053 ha 2) 72 MW 3) 321.69 MCM	Arunachal Pradesh

12	Bursar HE Project	1) 1.74 lakh ha 2) 800 MW 3) 616.74 MCM	J & K
13	Kishau multipurpose Project	1) 0.97 Lakh ha 2) 660 MW 3) 1824 MCM	HP & UK
14	Gyspa HE Project	1) 0.50 lakh ha 2) 300 MW 3) 912.78 MCM (Live)	H P
15	2 nd Ravi Vyas Link Project	Harness water flowing across border (about 715.42 MCM in non-monsoon period)	Punjab
16	Upper Siang Project	1) Indirect 2) 9750 MW 3) 1776.21 MCM 4) Flood moderation	Arunachal Pradesh

ANNEXURE-II

Proforma for submission of proposal by State Government for inclusion of a project under PMSKY-AIBP/National Projects

1. NAME OF THE STATE:
2. NAME OF THE PROJECT:
3. BRIEF DESCRIPTION OF THE PROJECT:

The project situated in district of state envisages construction of Head Works (give details) and Canal System (give details) to cover CCA of ha. The project will provide irrigation benefits to ha Irrigable Command Area, the taluka and district wise break up of which is given in Annex. The ultimate irrigation potential of the project is ha. The project has installed power generation capacity ofMW of hydropower. The project is to provide drinking water benefits to the villages and towns as per details annexed herewith. The project was given investment clearance by Planning Commission/DoWR, RD&GR in the year for Rs..... crore.

The latest estimated cost of the project is Rs..... crore at price level (give status of approval of latest estimated cost) and expenditure incurred till (ending previous March) is Rs..... crore. The project was started during the year and is proposed to be completed by

The physical progress (in percentage) of main components of the project as on (ending previous March) is as below:

S. No.	Component	% Progress
i)	Dam (H/Works)	%
ii)	Main & Branch Canals	%
iii)	Distributary system upto chuk outlets	%
iv)	Water Courses	%

The direct benefits achieved from project so far are.....

(Whether the project is receiving any external /domestic assistance? Only those components of the project which are not receiving any financial assistance from any other internal or external sources are to be considered for assistance under AIBP/National Project. However, the State Government may raise State share from other sources.)

4. COMPONENTS PROPOSED FOR FUNDING OF PROJECT WORKS

(a) Brief description of the components of the project proposed for Central grant:

Name of the component	Its present status	Target date of completion
1.		
2.		
3.		

Likely addition in irrigation potential/likely installed power generation capacity/likely drinking water benefits on completion of above mentioned components is/are

(b) Year-wise requirement of funds for works and likely direct benefits:

Year	Grant proposed	State Govt. share	Total	Likely direct benefits (Irrigation potential, installed power generation capacity etc.)
Total				

| Provision made in the State Budget for the project: Rs.crore.

Break-up: For Works Rs. crores

For Establishment Rs.....crores.

(c) Programme of works for items to be covered:

Sl. No	Description of components	Unit	Total estimated Quantity	Quantity executed up to (March)	Quantity proposed for next year
1	2	3	4	5	6
I	Unit-I/Head Works				

1	2	3	4	5	6
1	Dam/Barrage				
i)	Land acquisition	Ha.			
ii)	Earth work				
	a) Excavation	Th.cum			
	b) Embankment	Th.cum			
iii)	Masonry work	Th.cum			
iv)	Concrete work	Th.cum			
v)	Gates	Nos.			
vi)	Misc (pl. specify)				
II	Unit-II				
1	Main Canal, Branch Km Canal				
i)	Land acquisition	Ha.			
ii)	Earth work	Th.cum			
iii)	Lining	Th.sqm			
iv)	Structures	Nos.			
v)	Misc.(pl.specify)				
2	Distributaries & Minors				
i)	Land acquisition				
ii)	Earth work				
iii)	Lining				
iv)	Structures				
v)	Misc.(pl.specify)				
3	Water Courses				
i)	Land acquisition				
ii)	Earth work				
iii)	Lining				
iv)	Misc.(Pl specify)				

(Salient Features of the project and Index Map showing National Project components to be appended).

Prevailing constraints/bottlenecks, if any, and remedial measures being taken to implement the project may please be mentioned.

ADDITIONAL INFORMATION TO BE PROVIDED:

1. If the project is proposed to be included under PMKSY-AIBP, has the project achieved 50% physical progress? If no does the project having command area of 50% or more in Drought Prone Area Programme (DPAP)/ Desert Development Programme (DDP)/ Tribal area/ Flood prone area/Left wing extremism affected area/ Koraput, Balangir and Kalahandi (KBK) region of Odisha/ Vidarbha & Marathwada regions of Maharashtra/Bundelkhand region of Madhya Pradesh & Uttar Pradesh/It's an Extension Renovation Modernization

(ERM) project/ project is planned in a State having net irrigation below national average. Please provide all the details for verification of the claim.

2. Year wise physical and financial programme till completion of project in the following proforma:

PHYSICAL PROGRAMME & PROGRESS

- Item of work (only important items of works to be given)
- Total estimated quantity
- Quantity executed so far
- Balance quantity
- Year wise break up for execution of balance quantity till completion of project
- Year wise breakup of the direct benefits from project

FINANCIAL PROGRAMME & PROGRESS

- Item of work (only important items of works to be given)
- Total estimated cost
- Expenditure incurred so far
- Balance cost
- Year wise break up for execution of balance cost till completion of project

2. District, taluka and village wise break up of command area along with district, taluka and village wise area covered so far may be given in GIS format, with over-lay of layers of district, taluka and village boundaries.
3. Other direct benefits from the project stipulated and achieved so far.
4. Status of all mandatory clearances along with copies of the clearances may be given.
5. Total land required to be acquired for the project with break-up of revenue, forest and private land and land acquired so far. Land required for reservoir and canal system may be given separately.
6. Status of resettlement & rehabilitation (R&R) of project affected persons may be given covering number of villages likely to be affected with village wise numbers of project affected families (PAF), village wise number of families rehabilitated so far.
7. Details of ongoing contracts stating works covered, year of contract, year of completion of contract as per agreement, present status may be given along with reasons for delay in completion of contracted works.
8. Number of packages proposed for balance work with details of works to be covered, likely contract cost and time period of each package.

ANNEXURE-III

Constitution of High Powered Steering Committee for implementation of National Projects

The constitution of High Powered Steering Committee for the implementation of National Projects is as given below:

1	Secretary (Water Resources, RD & GR)	Chairperson
2	Secretary(Expenditure), Ministry of Finance	Member
3	Secretary, Ministry of Environment, Forest and Climate Change	Member
4	Secretary, Ministry of Power	Member
5	Secretary (Rural Development / Land Resources), Ministry of Rural Development	Member
6	Chairman, Central Water Commission	Member
7	Advisor (Water Resources), NITI Aayog	Member

Chief Engineer, Project Preparation Organization, CWC will function as Secretary to the High Powered Steering Committee for implementation of the National Projects.

The meetings of the Committee will be convened as and when considered necessary but at- least once in three months to review the implementation of the National Projects. The terms of reference of the Committee are as under:

1. To recommend implementation strategies for National Projects.
2. To monitor implementation of National Projects.
3. To examine the proposal (if any) for inclusion of new projects as National Project and make appropriate recommendation to the Government.

Note: The concerned Secretary of all the co-basin States shall be invited in the HPSC meeting, if inclusion of a project as National Project is in the agenda of the meeting.

**F. No. 1(13)PFMS/FCD/2020
Government of India
Ministry of Finance
Department of Expenditure
PFMS Division**

Block No.11, 5th Floor,
CGO Complex, Lodhi Road,
New Delhi, dated 23.03.2021

OFFICE MEMORANDUM

Subject: Procedure for release of funds under the Centrally Sponsored Schemes (CSS) and monitoring utilization of the funds released

The General Financial Rule 232(v) prescribes the release of funds to the State Governments and monitoring utilization of funds through PFMS. For better monitoring of availability and utilization of funds released to the States under the Centrally Sponsored Schemes (CSS) and to reduce float, the Department of Expenditure vide letter of even number dated 16.12.2020 had shared a draft modified procedure for release of funds under CSS with all the State governments and Ministries/Departments of the Government of India to seek their comments. The comments received from the State governments and Ministries/Departments of the Government of India were considered and the procedure has been suitably modified.

With a view to have more effective cash management and bring more efficiency in the public expenditure management, it has been decided that the following procedure will be followed by all the State Governments and Ministries/Departments of the Government of India regarding release and monitoring utilization of funds under CSS with effect from 1st July, 2021:

1. Every State Government will designate a Single Nodal Agency (SNA) for implementing each CSS. The SNA will open a Single Nodal Account for each CSS at the State level in a Scheduled Commercial Bank authorized to conduct government business by the State Government.
2. In case of Umbrella schemes which have multiple sub-schemes, if needed, the State Governments may designate separate SNAs for sub-schemes of the Umbrella Scheme with separate Single Nodal Accounts.
3. Implementing Agencies (IAs) down the ladder should use the SNA's account with clearly defined drawing limits set for that account. However, depending on operational requirements, zero-balance subsidiary accounts for each scheme may also be opened for the IAs either in the same branch of the selected bank or in different branches.
4. All zero balance subsidiary accounts will have allocated drawing limits to be decided by the SNA concerned from time to time and will draw on real time basis from the Single Nodal Account of the scheme as and when payments are to be made to beneficiaries, vendors etc. The available drawing limit will get reduced by the extent of utilization.



5. For seamless management of funds, the main account and all zero balance subsidiary accounts should preferably be maintained with the same bank. However, State Government may choose different banks for opening Single Nodal Accounts of different CSS.
6. Only banks having a robust IT Systems and extensive branch network should be chosen for opening the Single Nodal Account of each CSS. The bank chosen should have the facility to open the required number of subsidiary zero balance accounts and a robust MIS for handling accounting and reconciliation at each level. The bank should also provide a user friendly dashboard to officers at various levels to monitor utilization of funds by IAs.
7. The bank's software system should be able to monitor the drawing limits of the IAs who should be able to draw funds on real time basis from the SNA's account as and when payments are to be made. The selected bank should ensure proper training and capacity building of branch managers and other staff for smooth operation of these accounts.
8. The Ministries/Departments will release the central share for each CSS to the State Government's Account held in the Reserve Bank of India (RBI) for further release to the SNA's Account.
9. Funds will be released to the States strictly on the basis of balance funds of the CSS (Central and State share) available in the State treasury and bank account of the SNA as per PFMS or scheme-specific portals fully integrated with PFMS in consonance with rule 232(V) of the General Financial Rules, 2017.
10. The SNAs shall ensure that the interest earned from the funds released should be mandatorily remitted to the respective Consolidated Funds on pro-rata basis in terms of Rule 230(8) of GFR, 2017. Interest earned should be clearly and separately depicted in PFMS, scheme-specific portals integrated with PFMS and in MIS provided by the banks.
11. Except in case of schemes/sub-schemes having no State share, States will maintain separate budget lines for Central and State Share under each CSS in their Detailed Demand for Grants (DDG), and make necessary provision of the State share in the State's budget. While releasing funds to SNA, State's Integrated Financial Management Information System (IFMIS) should provide these budget heads and the same should be captured in PFMS through treasury integration.
12. In the beginning of a financial year, the Ministries/Departments will release not more than 25% of the amount earmarked for a State for a CSS for the financial year. Additional central share (not more than 25% at a time) will be released upon transfer of the stipulated State share to the Single Nodal Account and utilization of at least 75% of the funds released earlier (both Central and State share) and compliance of the conditions of previous sanction. However, this provision will not be applicable in case of schemes where a different quantum of release has been approved by the Cabinet.
13. After opening of Single Nodal Account of the scheme and before opening zero balance subsidiary account of IAs or assigning them drawing rights from SNA's account, the IAs at all levels shall return all unspent amounts lying in their accounts to the Single Nodal Account of the SNA. It will be the responsibility of the State government concerned to ensure that the entire unspent amount is returned by all the IAs to the Single Nodal Account of the SNA concerned. For this, the State Governments will work out the modalities and the timelines and will work out Central and state share in the amount so available with IAs.



SNAs will keep a record of unspent balance lying in the account of IAs and the amount refunded by IAs.

14. Refund of balance amount by IAs and the amount available in the SNA's account should be taken into account by the Program Division of the Ministry/Department while releasing funds under the scheme. Concerned SNAs shall keep a record of the unspent amount lying in the account of IAs to be deposited in the Single Nodal Account while assigning drawing rights to IAs.
15. Ministries/ Departments will ensure that releases under all CSS are made strictly as per the actual requirement on the ground, without resulting in any material float with the implementing agencies at any level.
16. The State Government will transfer the Central share received in its account in the RBI to the concerned SNA's account within a period of 21 days of its receipt. The Central share shall not be diverted to the Personal Deposit (PD) account or any other account by the State Government. Corresponding State share should be released as early as possible and not later than 40 days of release of the Central share. The funds will be maintained by the SNA in the Single Nodal Account of each CSS. State Governments/SNAs/IAs shall not transfer scheme-related funds to any other bank account, except for actual payments under the Scheme.
17. State Governments will register the SNAs and all IAs on PFMS and use the unique PFMS ID assigned to the SNA and IAs for all payments to them. Bank accounts of the SNAs, IAs, vendors and other organizations receiving funds will also be mapped in PFMS.
18. Payments will be made from the zero balance subsidiary accounts up to the drawing limit assigned to such accounts from time to time. Transactions in each Subsidiary Account will be settled with the Single Nodal Account daily through the core banking solution (CBS) on the basis of payments made during the day.
19. SNAs and IAs will mandatorily use the EAT module of PFMS or integrate their systems with the PFMS to ensure that information on PFMS is updated by each IA at least once every day.
20. SNAs will keep all the funds received in the Single Nodal Account only and shall not divert the same to Fixed Deposits/Flexi-Account/Multi-Option Deposit Account/Corporate Liquid Term Deposit (CLTD) Account etc.
21. The State IFMIS should be able to capture scheme component-wise expenditure along with PFMS Scheme Code and Unique Code of the Agencies incurring the expenditure. State Governments will ensure daily uploading/sharing of data by the State IFMIS/Treasury applications on PFMS. PFMS will act as a facilitator for payment, tracking and monitoring of fund flow.
22. Release of funds by the Ministries/Departments to States towards the end of the financial year should be avoided to prevent accumulation of unspent balances with States. Ministries/Departments will arrange to complete the release well in time so that States have ample time to seek supplementary appropriations from their respective legislatures, if required, and account for all the releases in the same financial year.

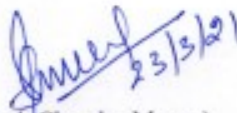


23. In case of CSS having no State share and where as per the scheme guidelines, funds are released by the Central Ministry/Department directly to the districts/blocks/Gram Panchayats/Implementing agencies, the requirement of notifying a single Nodal Agency and opening of a Single Nodal Account at the State level may be waived by the Secretary of the Central Ministry/Department concerned in consultation with the Financial Adviser.

24. UTs without legislature work directly in PFMS. Therefore, there is no need for them to open a Single Nodal Account. They will ensure that the funds are released to the vendors/beneficiaries 'just in time'. In case funds are to be released to any agency as per scheme guidelines, provision of Rule 230 (vii) of GRF 2017 will be strictly followed to avoid parking of funds, with agencies.

25. Ministries/Departments shall undertake monthly review of the release of funds (both the Central and State Share) from the State treasury to the SNA, utilization of funds by SNAs and IAs and outputs/outcomes vis-à-vis the targets for each CSS.

This issues with the approval of Secretary (Expenditure) and shall supersede all earlier guidelines on this subject.


(Subhash Chandra Meena)
Director (FCD)
011-24368543
E-mail: subhash.meena@nic.in

To,

1. All Secretaries to the Government of India
2. All Financial Advisors to the Government of India
3. All Pr. CCAs/CCAs of all Ministries/Departments

Copy to:

1. PSO to Secretary (Expenditure)
2. PPS to CGA
3. Sr.PPS to Addl. Secretary (Expenditure)
4. PSO to Addl. Secretary (Pers)
5. Sr. PPS to JS (PFC-II)
6. Sr. PPS to JS(PF-S)

Annexure-V**FORM GFR 12-C**

[See Rule 239] Form of Utilization Certificate

Sl. No.	Letter No. and date	Amount	Certified that out of Rs.....Of grants sanctioned during the year.....in favour ofunder the Ministry/Department Letter No. given in the margin and Rs.....on account of unspent balance of the previous year, a sum of Rs.....has been utilized for the propose offor which it was sanctioned and that the balance of Rs.....remaining unutilized at the end of the year has been surrendered to Government (vide No.dated.....)/will be adjusted towards the grants payable during the next year.....
	Total		

2. Certified that I have satisfied myself that the conditions on which the grants-in-aid was sanctioned have been duly fulfilled/ are being fulfilled and that I have exercised the following checks to see that the money was actually utilized for the propose for which it was sanctioned.

Kinds of checks exercised

- 1.
- 2.
- 3.
- 4.

Signature.....

Designation.....

Date.....

PS: The UC shall disclose separately the actual expenditure incurred and loans and advances given to suppliers of stores and assets, to construction agencies and like in accordance with scheme guidelines and in furtherance to the scheme objectives, which do not constitute expenditure at the stage. These shall be treated as utilized grants but allowed to be carried forward.

Performa regarding Irrigation Development of ongoing MMI Projects in India

1	2	3	4	5	6		7	8	9	10	11	12
SL · N O.	NAME OF PROJE CT	ORIGIN AL YEAR OF APPRO VAL	ORIGINAL		LATEST		BASIN/RI VER	DISTRICT S BENEFIT TED	Whether Irrigation/Multipu rpose	TYPE	Whether Storage/ Diversion/ LIS	CC A (00 0' HA .)
			APPROV ED COST (Rs. CRORE)	PRICE LEVEL	APPROV ED COST (Rs. CRORE)	PRICE LEVEL				Major/Medium /ERM		

13	14		15	16			17				18			
STARTE D IN YEAR	LATEST		EXPENDITU RE UPTO 3/2021 (Rs. CRORE)	STATUS OF COMPLETION IN (%)			ULTIMATE IRR. POTENTIAL				POTENTIAL CREATED UPTO' 3/2021 ('000HA)			
	ESTIMATE D COST (Rs. CRORE)	PRICE LEVEL		Head Work s	Canal s Syste m	Distributio n Systems	RAB I	KHARIF F	THIR D CRO P	TOTA L	RAB I	KHARIF F	THIR D CRO P	TOTA L

19				20		21
POTENTIALUTILISATION UPTO '3/2021 ('000 HA.)				STATUS OF WUA's		REMARKS
RABI	KHARIFF	THIRD CROP	TOTAL	NO.OF WUA's FORMED UP TO '3/2021	AREA COVERED (Th Ha)	

Performa regarding Irrigation Development of Completed MMI Projects in India

1	2	3	4	5	6		7	8	9
SL. NO.	NAME OF PROJECT	ORIGINAL YEAR OF APPROVAL	ORIGINAL		LATEST		BASIN/RIVER	DISTRICTS BENEFITTED	Whether Irrigation/Multipurpose
			APPROVED COST (Rs. CRORE)	PRICE LEVEL	APPROVED COST (Rs. CRORE)	PRICE LEVEL			

10	11	12	13	14	15		16				17			
TYPE	Whether Storage/D iverision/L IS	CCA (000' HA.)	STARTED IN YEAR	Completion Year/Plan	COMPLETION		ULTIMATE IRR. POTENTIAL				POTENTIAL CREATED UPTO' 3/2021 ('000 HA)			
Major/Me dium/ER M					COST (Rs. CRORE)	YEAR	RABI	KHARIFF	THIRD CROP	TOTAL	RABI	KHARIFF	THIRD CROP	TOTAL

18				19		REMARKS
POTENTIALUTILISATION UPTO '3/2021 ('000 HA.)				STATUS OF WUA's		
RABI	KHARIFF	THIRD CROP	TOTAL	NO.OF WUA's FORMED UP TO '3/2021	AREA COVERED (Th Ha)	

ANNEXURE-VII

Memorandum of Understanding between the Department of Water Resources, River Development and Ganga Rejuvenation, Ministry of Jal Shakti, Government of India and Government of.....for completion of _____(irrigation) project under PMKSY-AIBP/National Project

1. This memorandum of understanding is made between the Department of Water Resources, River Development and Ganga Rejuvenation (DoWR, RD&GR), Ministry of Jal Shakti, Government of India and the Government offor the completion of ongoing (Name of irrigation project) including completion of the CAD&WM works of the project in the next (*number of years*) financial years under the PMKSY-AIBP/National Project scheme.
2. The..... (irrigation) project has been approved by the DoWR, RD & GR, Ministry of Jal Shakti in..... for Rs.....crore to irrigate a cultivable command Area (CCA) of.....ha and (ultimate irrigation potential (UIP)----- ha) with targeted completion period as years. The CAD&WM component in the project includes capacity building for.....Rs.....crore and construction activities for Rs..... crore and land leveling will be implemented by
3. According to the State Government, The latest estimated cost approved by Advisory Committee of DoWR, RD & GR, Ministry of Jal Shakti is Rs.....crore at price level. The expenditure up to is Rs..... crore and.....ha potential has already been created. The corresponding CAD&WM works will be completed by.....at an estimated cost of Rs.....crore.
4. The construction program has been rescheduled in such a way that potential creation and potential utilization is feasible from.....Accordingly, year wise detailed physical program, yearly funds requirement and budget has been proposed. The State undertakes to ensure agreed annual budget allocation to the Project.
5. The project is in advance stage of construction and potential of ha has already been created up to The year-wise target for creation of irrigation potential under PMKSY-AIBP/National Project scheme will be asunder:

1st year..... ha (....% of UIP)

2nd year..... ha (.... % of UIP)

3rd year.....ha (.... % of UIP)

4th yearha (.... % of UIP)
5th year.....ha (.... % of UIP)

6. The matching potential utilization targets under CAD&WM activities will be as follows.

Ongoing projects (already included in PMKSY-AIBP/National Project scheme):

1st year.....ha (equivalent to already created irrigation potential.)
2nd year.....ha (potential created in 2nd year-potential created in 1st year)
3rd year.....ha (potential created in 3rd year-potential created in 2nd year)
4th year.....ha (potential created in 4th year-potential created in 3rd year)
5th year.....ha (potential created in 5th year-potential created in 4th year)

or

New projects (to be included in PMKSY-AIBP scheme):

(Equivalent targets of irrigation potential as fixed for PMKSY-AIBP components. The CAD&WM activities are to start with the construction of the minors. Additional construction period required is.....years as per construction plan of the approved DPR)

1st year.....ha
2nd year..... ha
3rd year.....ha
4th year..... ha

7. DoWR, RD & GR, Government of India agrees to extend central assistance against the balance cost as on ----- of Rs.....crore for the completion of the project in the nextfinancial years along with CAD&WM component of Rs.....crore ----- subject to the following conditions:

- i. The project will be completed by the Government of.....by.....
- ii. Its completion will be informed immediately thereafter to the CWC, DoWR, RD&GR and the Planning Commission for deleting the project from the list of on-going projects.
- iii. The completion of CAD&WM activities will also be reported.
- iv. State Government will also intimate the potential utilized on an annual basis.

9. The project will be closely monitored through three tier monitoring mechanism by State Government and Central Water Commission/DoWR, RD&GR. The physical and financial progress of the projects shall be monitored by the State Government regularly and monthly progress data shall be updated by them in the web-based MIS system of DoWR, RD&GR by 10th of every succeeding month. Third party monitoring will be carried out by State Government and report will be submitted along with the

utilization certificate.

10. Quality Control aspects shall be followed strictly as follows:
 - i) The State Government shall ensure required quality control in the execution of the works. The periodical report of quality control will also be reported to CWC.
 - ii) Existing quality control mechanism has been suitably strengthened. All mandatory quality control checks and mandatory inspection by supervisory officers to field laboratories shall be carried out.
11. The State Government shall promote applied research and innovations, wherever possible. Use of pressurized pipe system and micro irrigation shall be implemented suitably to achieve maximum efficiency in water application. Water-intensive crops shall be discouraged by State Government. Wherever possible, suitable convergence with other Government Schemes such as MGNREGS, PDMC, RKVY etc. shall be made.
12. The State Government shall provide adequate fund for operation and maintenance of the projects under PMKSY-AIBP/National Projects. Also, dam safety regulations shall be complied.
13. The project shall be deleted from AIBP and action for termination of agreement shall be initiated, if physical and financial progress is not achieved as per the schedule and if State fails to submit convincing reply for short fall in progress and delay.
14. Independent evaluation for AIBP component and CAD components is mandatory and will be carried out by State Government through an independent agency and the report shall be submitted to CWC at the end of each financial year.

Signed on the day 20.... , at New Delhi.

For and on behalf of the Govt.

of

Secretary (WR/Irrigation)

Government of

(Authorized State representative for signing on behalf of State Government is responsible for Irrigation and CADA components of the Project)

For and on behalf of

Government of India

Commissioner (SPR)

DoWR, RD & GR, MoJS

ANNEXURE-VIII

Composition of Executive Committee of PMKSY

The composition of Executive Committee of PMKSY is as given below:

1	Secretary, Department of Water Resources, RD & GR	Chairperson
2	Joint Secretary (IWMP), Deptt. of Land Resources, MoRD	Member
3	Joint Secretary (MGNREGA), Deptt. of Rural Development	Member
4	Joint Secretary (Natural Resources Management), MoA&FW	Member
5	Commissioner (SPR), DoWR, RD & GR	Member
6	Commissioner (CAD&WM), DoWR, RD & GR	Member
7	Joint secretary (GW), DoWR, RD & GR	Member
8	Chief Engineer, Project Monitoring Organization, CWC	Member-Secretary